(54) Title: ITERATIVE OPTIMIZATION IN THE DESIGN OF BINDING PROTEINS

(57) Abstract: Disclosed herein are design methods for optimizing the specificity of a binding protein. The methods comprise iterative cycles of rational design, site selection, redesign and site selection of the redesigned molecule.

ITERATIVE OPTIMIZATION
IN THE DESIGN OF BINDING PROTEINS

BACKGROUND

5   Sequence-specific binding of proteins to DNA, RNA, protein and other molecules is involved in a number of cellular processes such as, for example, transcription, replication, chromatin structure, recombination, DNA repair, RNA processing and translation. The binding specificity of cellular binding proteins that participate in protein-DNA, protein-RNA and protein-protein interactions contributes

10   to development, differentiation and homeostasis. Alterations in specific protein interactions can be involved in various types of pathologies such as, for example, cancer, cardiovascular disease and infection.

        Increased understanding of the nature and mechanism of protein binding specificity has encouraged the hope that specificity of a binding protein could be

15   altered in a predictable fashion, or that a binding protein of predetermined specificity could be constructed *de novo*. See, for example, Blackburn (2000) *Curr. Opin. Struct. Biol.* 10:399-400; Segal *et al.* (2000) *Curr. Opin. Chem. Biol.* 4:34-39. To date, the greatest progress in both of these areas has been obtained with a class of binding proteins known as zinc finger proteins.

20   Zinc finger proteins (ZFPs) are proteins that can bind to DNA in a sequence-specific manner. Zinc fingers were first identified in the transcription factor TFIIIA from the oocytes of the African clawed toad, *Xenopus laevis*. An exemplary motif characterizing one class of these proteins (C_2H_2 class) is -Cys-$(X)_{2-4}$-Cys-$(X)_{12}$-His-$(X)_{3-5}$-His (SEQ ID NO: 1), where X is any amino acid. A single zinc finger domain is

25   about 30 amino acids in length, and several structural studies have demonstrated that it contains a beta turn (containing the two invariant cysteine residues) and an alpha helix (containing the two invariant histidine residues), which are held in a particular conformation through coordination of a zinc atom by the two cysteines and the two histidines. To date, over 10,000 zinc finger sequences have been identified in several

30   thousand known or putative transcription factors. Zinc finger domains are involved not only in DNA recognition, but also in RNA binding and in protein-protein binding. Current estimates are that this class of molecules will constitute about 2% of all human genes.

The x-ray crystal structure of Zif268, a three-finger domain from a murine transcription factor, has been solved in complex with a cognate DNA sequence. Pavletich *et al.* (1991) *Science* 252:809-817. The structure suggests that each finger interacts independently with a 3-nucleotide DNA subsite, with side-chains at positions

5   -1, +2, +3 and +6 (with respect to the start of the α–helix) making contacts with bases in a DNA triplet subsite. The amino terminus of Zif268 is situated at the 3' end of the DNA strand with which it makes most contacts. Some zinc fingers can bind to a fourth base in a target segment. If the strand with which a zinc finger protein makes most contacts is designated the target strand, some zinc finger proteins bind to a three base

10   triplet in the target strand and a fourth base on the non-target strand. The fourth base is complementary to the base immediately 3' of the three base subsite. See Wolfe *et al.* (2000) *Annu. Rev. Biophys. Biomol. Struct.* 3:183-212 for a recent review on DNA recognition by zinc finger proteins.

The structure of the Zif268-DNA complex also suggested that the DNA

15   sequence specificity of a zinc finger protein could be altered by making amino acid substitutions at the four positions (-1, +2, +3 and +6) involved in DNA base recognition. Phage display experiments using zinc finger combinatorial libraries to test this observation were published in a series of papers in 1994. Rebar *et al.* (1994) *Science* 263:671-673; Jamieson *et al.* (1994) *Biochemistry* 33:5689-5695; Choo *et al.*

20   (1994) *Proc. Natl. Acad. Sci. USA* 91:11163-11167 (1994). Combinatorial libraries were constructed with randomized amino acid residues in either the first or middle finger of Zif268, and members of the library able to bind to an altered Zif268 binding site (in which the appropriate DNA sub-site was replaced by an altered DNA triplet) were selected. The amino acid sequences of the selected fingers were correlated with

25   the nucleotide sequences of the new binding sites for which they had been selected. In additional experiments, correlations were observed between the nature of mutations introduced into a recognition helix and resulting alterations in binding specificity. The results of these experiments have led to a number of proposed substitution rules for design of ZFPs with altered binding specificity. Most of these substitution rules

30   concern amino acids occupying positions –1, +2, +3 and +6 in the recognition helix of a zinc finger protein, which have been reported to be the principal determinants of binding specificity. Some of these rules are supported by site-directed mutagenesis of the three-finger domain of the transcription factor, Sp-1. Desjarlais *et al.* (1992a)

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Proc. Natl. Acad. Sci. USA 89:7345-7349; Desjarlais *et al.* (1992b) *Proteins:*
Structure, Function and Genetics 12:101-104; Desjarlais *et al.* (1993) *Proc. Natl.*
Acad. Sci. USA 90:2256-2260.

Two general classes of design rules for zinc finger proteins have been
5   proposed. The first relates one or more amino acids at a particular position in the
recognition helix with a nucleotide at a particular position in the target subsite. For
example, if the 5'-most nucleotide in a three-nucleotide target subsite is G, certain
design rules specify that the amino acid at position +6 of the recognition helix is
arginine, and optionally position +2 of an adjacent carboxy-terminal finger is aspartic
10   acid. The second class of design rules relates the sequence of an entire recognition
helix with the sequence of a three- or four-nucleotide target subsite. These and related
design rules have been elaborated in, for example, U.S. Patent No. 6,140,081;
PCT WO98/53057; PCT WO98/53058; PCT WO98/53059; PCT WO98/53060;
PCT WO00/23464; Choo *et al.* (2000) *Curr. Opin. Struct. Biol.* 10:411-416; Segal *et*
15   *al.* (2000) *Curr. Opin. Chem. Biol.* 4:34-39; and references cited in these publications.

In addition, two strategies for identifying a zinc finger which binds to a specific
triplet subsite have emerged. In the first strategy, the sequence of a portion (generally
a single finger but, in some cases, one-and-a-half fingers) of a multi-finger protein is
randomized (generally at positions –1, +2, +3 and +6 of the recognition helix), and
20   members of the randomized population able to bind to a particular subsite are selected.
The second strategy relies on *de novo* synthesis of a zinc finger specific for a particular
subsite, using existing design rules as set forth *supra*. *See*, for example, Choo *et al.*
(1997) *Curr. Opin. Struct. Biol.* 7:117-125; Greisman *et al.* (1997) *Science* 275:657-
661.

25   In attempting to construct a ZFP of predetermined specificity able potentially to
discriminate a target sequence in a eucaryotic genome, it is necessary to join individual
zinc fingers into a multi-finger protein. However, because of overlap in the
recognition of adjacent subsites in a target sequence by adjacent zinc fingers in a ZFP,
cooperativity and synergistic interactions between adjacent fingers, currently existing
30   design and selection methods have been limited largely to zinc fingers which recognize
G-rich target subsites; in particular triplets of the form GNN and, to a lesser extent,
TNN. Although certain selection methods not limited to GNN triplets have been
devised, they involve construction of multiple libraries; hence they are more difficult
to practice and the degree of possible randomization is limited.

Another deficiency of current design rules is that they do not provide zinc finger sequences able to recognize every one of the 64 possible triplet subsites. Moreover, even for those subsites that are covered, the design rules are degenerate, in that they often specify more than one amino acid for recognition of a particular

5   nucleotide at a particular position in a target subsite, with no direction provided for choosing the best possible amino acid from among the alternatives offered. See, for example, Isalan *et al.* (1998) *Biochemistry* 37:12026-12033; Wolfe *et al.* (1999) *J. Mol. Biol.* 285:1917-1934; Elrod-Erickson *et al.* (1998) *Structure* 6:451-464; Choo & Isalan (2000) *Curr. Opin. Struct. Biol.* 10:411-416. In fact, recent studies have shown

10   that ZFPs whose synthesis was based on rational design were able to discriminate only 5 of 9 (in one case) or 7 of 9 (in another case) nucleotides in their target sequences. Corbi *et al.* (1997) *FEBS Letts.* 417:71-74; Corbi *et al.* (1998) *Biochem. Biophys. Res. Comm.* 253:686-692.

Additional reasons for the inability of selection and rational design to enable

15   recognition of any possible target sequence by a ZFP include the following. (1) Selection by phage display often yields ZFPs with high affinity but low specificity; *i.e.*, ZFPs that bind tightly to their target sequence, but also bind tightly to related (or even unrelated) sequences. Thus, methods are required which provide ZFPs which not only bind tightly to their target sequence, but also bind weakly to all other sequences,

20   even those which differ from the target sequence by only a single nucleotide. (2) Existing design rules rely solely on amino acid-base interactions; they do not take into account interactions of amino acids in a ZFP with DNA phosphate residues, nor do they account for concerted interactions between different amino acids in a zinc finger. (3) Framework effects (*i.e.*, effects on binding specificity of amino acids other that

25   those located at –1, +2, +3 and +6) are not accommodated by rational design rules. (4) Most design rules fail to take account of context effects; *i.e.*, the fact that a recognition helix may recognize different subsite sequences depending on its location in a multi-finger protein.

Thus, although existing selection methods and design rules provide limited

30   guidance for constructing a zinc finger DNA-binding domain that is potentially capable of recognizing a particular target sequence, it is unlikely that a complete directory, providing one-to-one correspondence between amino acids in the recognition helix and nucleotide bases in the target subsite, will be obtained. *See* also Pabo *et al.* (2000) *J. Mol. Biol.* 301:597-624.

As a result of the limitations accompanying current selection methods and design rules, the probability of being able to generate a protein which will bind specifically and preferentially to a particular target sequence (either nucleotide or amino acid) remains low. Reliable methods for obtaining binding proteins of

5   predetermined specificity would thus represent a significant advance in the art.

SUMMARY

Disclosed herein are methods for obtaining binding proteins having a high specificity of binding to a particular target site and a low specificity of binding to non-

10   target sties. In preferred embodiments, the binding protein is a zinc finger protein. In a more preferred embodiment, a zinc finger protein binds to a DNA sequence. In alternative embodiments, a zinc finger protein binds to an RNA sequence or a peptide sequence.

In one aspect, a method of enhancing the binding specificity of a binding

15   protein is provided. The method comprises (a) providing a binding protein designed to bind to a target sequence; (b) determining the specificity of binding of the binding protein to each residue in the target sequence; (c) identifying one or more residues in the target sequence for which the binding protein does not possess the requisite specificity; (d) substituting one or more amino acids at positions in the binding protein

20   that affect the specificity of the binding protein for the residues identified in (c), to make a modified binding protein; (e) determining the specificity of binding of the modified binding protein to each residue in the target sequence; (f) identifying any residues for which the modified binding protein does not possess the requisite specificity; and (g) repeating steps (d), (e) and (f) until the modified binding protein

25   evaluated in step (f) demonstrates the requisite specificity for each residue in the target sequence, thereby obtaining a binding protein with enhanced binding specificity for its target sequence.

In any of the methods or compositions described herein, the target sequence can be, for example, a nucleic acid sequence or an amino acid sequence. The binding

30   protein can be, for example, a DNA-binding protein, such as a zinc finger protein, or an RNA-binding protein. In certain embodiments, the zinc finger protein comprises three zinc fingers, each of which binds a triplet or quartet subsite in the target sequence. In other embodiments, a three-fingered ZFP binding protein is used, wherein at least one finger in the zinc finger protein in step (a) is designed according to

a correspondence regime between the identity of bases occupying designated positions
in a subsite of the target sequence, and the identity of amino acids occupying
designated positions in a zinc finger binding to that subsite. Each of the three fingers
can be designed according to a correspondence regime between the identity of bases

5   occupying designated positions in a subsite of the intended target site, and the identity
of amino acids occupying designated positions in a zinc finer binding to that subsite.
In yet other embodiments, the correspondence regime specifies alternative amino acids
for one or more positions in a zinc finger which recognize a target sequence and,
additionally, the zinc finger protein in step (a) includes at least one amino acid

10   arbitrarily selected from alternative amino acids specified by the correspondence
regime.

        In yet other embodiments where the binding protein is a ZFP, the ZFP in step
(a) is designed by analysis of a database of existing zinc finger proteins and their
respective target sequences. In any of the methods described herein, the substituting of

15   step (d) comprises replacing one or more amino acids with alternative amino acids
specified by the correspondence regime, for example, replacing an amino acid at a
position of a zinc finger that does not possess the requisite specificity for a base with a
consensus amino acid at a corresponding position from a collection of zinc fingers that
bind to a subsite of the intended target site.

20   In yet other embodiments, the site specificity of each nucleotide in the target
sequence is determined by contacting the binding protein (e.g., zinc finger protein)
with a population of randomized oligonucleotides, selecting oligonucleotides that bind
to the zinc finger protein, determining the sequence of the selected oligonucleotides,
and determining the percentage of bases occupying each position in the selected

25   oligonucleotides. In certain embodiments, a zinc finger protein does not possess the
requisite specificity for a nucleotide at a position if fewer than 80% of selected
oligonucleotides contain the nucleotide at the position. In yet other embodiments, a
zinc finger does not possess the requisite specificity for the 3' base of a subsite, and an
amino acid at position –1 of the recognition helix is substituted. In other embodiments, ·

30   a zinc finger does not possess the requisite specificity for the mid base of a subsite and
an amino acid at position +3 of the recognition helix is substituted. In other
embodiments, a zinc finger does not possess the requisite specificity for the 5' base of
a subsite and an amino acid at position +6 of the recognition helix is substituted. In
still other embodiments, a zinc finger does not possess the requisite specificity for the

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5' base of a subsite and an amino acid at position +2 of an adjacent C-terminal zinc finger is substituted. In any of the methods described herein, one or more amino acid(s) is(are) substituted in step (c) and in certain embodiments, steps (c) and (d) are repeated at least twice.

5   In another aspect, a method for identifying a secondary target site for a binding protein, wherein the binding protein is designed to bind a target sequence is provided. The method comprises: (a) determining the specificity of the binding protein for each residue in the target sequence, thereby identifying one or more secondary target sites bound by the binding protein; and (b) comparing the sequence of the secondary target
10   site with a database of naturally-occurring sequences to identify at least one naturally-occurring sequence comprising the secondary target site. In certain embodiments, the naturally-occurring sequences form all or a portion of the sequence of a genome (e.g., a human genome). The target sequence can be, for example, a nucleotide sequence or an amino acid sequence. Additionally, in certain embodiments, the binding protein is a
15   zinc finger protein and step (a) comprises contacting the zinc finger protein with a population of randomized oligonucleotides to identify a subpopulation of oligonucleotides that bind to the zinc finger protein; one or more of these oligonucleotides or a consensus sequence of these oligonucleotides constituting the one or more secondary target sites.

20   In another aspect, a method of comparing zinc finger proteins that bind to target sequences within a target gene is provided. In certain embodiments, the method comprises (a) determining the binding profile of a first zinc finger protein, designed to bind a first target sequence within the gene, for each base in the first target sequence; (b) determining the binding profile of a second zinc finger protein, designed to bind a
25   second target sequence within the gene, for each base in the second target sequence; and (c) comparing the profiles of the first and second zinc finger proteins as an indicator of relative specificity of binding. In certain embodiments, the first and second target sequences are the same and the method allows for selection of a ZFP which binds with higher specificity to that sequence. In certain embodiments, the
30   binding profile of the first zinc finger protein to the first target sequence is determined by contacting the first zinc finger protein with a population of randomized oligonucleotides to identify a subpopulation of oligonucleotides that bind to the first zinc finger protein, the identity of random segments in the subpopulation providing a profile of the specificity of binding of the first zinc finger protein; and (b) the binding

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profile of the second zinc finger protein to the second target sequence is determined by contacting the second zinc finger protein with a population of randomized oligonucleotides to identify a subpopulation of oligonucleotides that bind to the second zinc finger protein, the identity of random segments in the subpopulation providing a

5   profile of the specificity of binding of the second zinc finger protein.

In yet another aspect, a method of modulating expression of a gene is provided. In certain embodiments, the method comprises contacting the gene with a zinc finger protein identified by any of the methods described herein, wherein the ZFP has the requisite binding specificity.

10   In still further embodiments, compositions comprising zinc finger proteins identified by any of the methods described herein and a pharmaceutical excipient are provided.

These and other embodiments will readily occur to those of skill in the art in light of the disclosure herein.

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BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows, in schematic form, an outline of an exemplary site-selection procedure for a DNA-binding protein.

Figure 2 shows the nucleotide sequence of a portion of the human vascular
20   endothelial growth factor (VEGF) gene (SEQ ID NO: 23), and the amino acid sequences of the recognition helices (from −1 to +6) of three fingers (SEQ ID NOs: 27, 28 and 29) of a zinc finger protein, VEGF-I, designed to bind to this nucleotide sequence. The one-letter amino acid code is used.

Figure 3 shows the nucleotide sequence of a portion of the human vascular
25   endothelial growth factor (VEGF) gene (SEQ ID NO: 23), and the amino acid sequences of the recognition helices (from −1 to +6) of three fingers (SEQ ID NOs: 27, 30 and 29) of a redesigned zinc finger protein, VEGF-II, with improved specificity for binding to this nucleotide sequence. Note that, in Finger 2, the serine residue that occupied position +2 in VEGF-I has been replaced with an aspartic acid residue. The
30   one-letter amino acid code is used.

DETAILED DESCRIPTION

General

Disclosed herein are reiterative methods for optimizing the target specificity of a binding protein. In a preferred embodiment, the binding protein is a designed zinc finger protein (ZFP). The methods comprise an initial design stage, followed by a screening stage, in which the ability of the initial design to bind its intended target sequence is evaluated. Depending on the results of screening, one or more cycles of redesign and re-screening are employed, until a protein having the desired specificity is obtained.

Currently-available selection methods and design rules are potentially capable of allowing one to obtain ZFPs which recognize only a limited subset of all possible target sequences. Furthermore, for this limited subset, it has been found, as disclosed herein, that design of a ZFP according to currently-available substitution rules does not necessarily generate a ZFP with adequate binding specificity. One reason for this is that application of available rules often involves arbitrary choices between alternative substitutions suggested by various sources. Thus, existing substitution rules rarely, if ever, provide definitive guidance for selecting the most specific and/or highest-affinity ZFP for a given target.

Accordingly, in one embodiment, the binding specificity of a designed zinc finger protein is optimized. In the initial design stage, a zinc finger protein designed to bind a particular target sequence is constructed, wherein the target sequence comprises one or more target subsites, and wherein the initial amino acid sequence of the zinc finger protein is based on design rules, substitution rules, correspondence regimes, empirical selection results and/or databases of zinc finger/target site sequences. The target sequence is generally a plurality of target subsites, wherein each target subsite is bound primarily by an individual finger (ignoring cross-strand interactions for simplicity).

In the next stage, the designed ZFP is screened for sequence specificity of binding, under conditions in which additional sequences compete with the target sequence for binding to the designed zinc finger protein. For example, if the target sequence has a length of nine nucleotides, in one embodiment, the designed zinc finger protein is tested for binding to all possible nine-nucleotide sequences, using randomized oligonucleotides. If the designed ZFP is does not have the requisite specificity for any of the nucleotides in its target site (e.g., exhibits significant binding

to one or more sequences other than its target sequence), appropriate amino acid residues are redesigned and the testing is repeated. The cycle of (re)design and testing is repeated as often as necessary to obtain a ZFP with the requisite binding specificity.

In additional embodiments, the disclosed methods permit the determination of
5   new principles by which ZFP design (or, indeed, the design of any binding protein) can be guided. For example, if a ZFP is designed to bind to a first target sequence, and testing indicates that it binds more efficiently (or with similar efficiency) to a second, different sequence, this information can be used for future design of ZFPs which bind to the second sequence.

10   To provide one example of an optimization method, in a first cycle, a zinc finger protein is designed to potentially recognize a particular target sequence, according to rules described in published disclosures. Such designs often involves arbitrary choices between alternative residues provided by substitution rules, and are therefore unlikely to be optimal. The resulting protein is systematically evaluated, on a
15   nucleotide-by-nucleotide basis, for its binding specificity to the target sequence, to identify at least one nucleotide that is not bound with the predetermined (*e.g.*, requisite or adequate) specificity. A second cycle of design is then performed in which amino acid(s) that affect binding specificity undergo(es) further substitution. Amino acids to be substituted can be chosen, for example, from among the arbitrary choices (specified
20   by correspondence regimes and design rules) that were not pursued in an earlier round of design. Because typically only one or a few nucleotides do not possess the requisite specificity, the number of arbitrary choices is considerably smaller than in the first round of design. Alternatively, substitution can be based on considerations such as, for example, size, conformation and/or ionic properties of an existing amino acid
25   compared to a potential substituted amino acid. The resulting protein is then reevaluated for binding specificity to component nucleotides in the target sequence. If any nucleotides are still lack the requisite specificity specificity, further cycles of design and screening can be performed.

The above methods are designed to overcome the problem that zinc finger
30   proteins designed according to published substitution rules often show significant binding to sequences other than the intended target sequence. Such secondary binding occurs notwithstanding the specific binding of a designed ZFP to its intended target site, relative to bulk DNA or with respect to particular individual control sequences. Although an understanding of mechanisms is not required for practice of the disclosed

methods or for use of the disclosed compositions, it is believed that the inability of
published substitution rules to achieve optimal specificity is due to the rules
representing only an approximation of a complex relationship between zinc finger
amino acid sequence and nucleotide target sequence. The relationship is complicated

5   for at least three reasons. First, most substitution rules assume that a single amino acid
determines specificity of binding to a single nucleotide in a target site. In fact, more
than one amino acid can determine binding to the same nucleotide; and the same
amino acid can affect binding to multiple nucleotides. Second, most substitution rules
pertain only to amino acid positions −1, +2, +3 and +6 of the zinc finger recognition

10   helix. Other positions contribute to binding specificity to a less predictable extent.
Third, the rules assume the same relationship between amino acid sequence and target
sequence irrespective of the position of a zinc finger within a multi-finger protein. In
fact, context-dependent interactions of multiple fingers binding in the same protein can
affect binding; for instance, recognition helices having identical amino acid sequences

15   can bind different target subsite sequences depending on the location of the recognition
helix in a multi-finger protein.

         These problems resulting from these complexities are overcome by the present
methods of reiterative optimization of a designed protein. Data are provided herein
showing that specificity of binding of a zinc finger to a particular nucleotide in a target

20   site can be detected, characterized in a semi-quantitative fashion, corrected by
substitution of amino acid(s) involved in the binding, and the degree of improvement
in binding assessed. Such a redesign to correct binding to one nucleotide may or may
not affect binding specificity at one or more other nucleotides in the target site.
However, as disclosed herein, it has been determined that if redesign and systematic

25   screening are performed reiteratively, any lack of specificity introduced by a previous
round is corrected in a subsequent round, and after a few cycles the method rapidly
converges on a zinc finger protein with optimal binding specificity for a single target
site. The convergence provided by such an iterative process effectively leads to
optimal designs by screening only a small number of the large repertoire of candidate

30   zinc finger proteins representing all combinations of substitutions from available
design rules.

         The practice of the disclosed methods employs, unless otherwise indicated,
conventional techniques in molecular biology, biochemistry, genetics, computational
chemistry, cell culture, recombinant DNA and related fields as are within the skill of

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the art. These techniques are fully explained in the literature. *See*, for example,
Sambrook *et al.* MOLECULAR CLONING: A LABORATORY MANUAL, Second edition,
Cold Spring Harbor Laboratory Press, 1989; Ausubel *et al.*, CURRENT PROTOCOLS IN
MOLECULAR BIOLOGY, John Wiley & Sons, New York, 1987 and periodic updates;
5   and the series METHODS IN ENZYMOLOGY, Academic Press, San Diego.

The disclosures of all patents, patent applications and publications mentioned
herein are hereby incorporated by reference in their entireties.

Definitions

10   The terms "nucleic acid," "polynucleotide," and "oligonucleotide" are used
interchangeably and refer to a deoxyribonucleotide or ribonucleotide polymer in either
single- or double-stranded form. For the purposes of the present disclosure, these
terms are not to be construed as limiting with respect to the length of a polymer. The
terms can encompass known analogues of natural nucleotides, as well as nucleotides
15   that are modified in the base, sugar and/or phosphate moieties. In general, an analogue
of a particular nucleotide has the same base-pairing specificity; *i.e.*, an analogue of A
will base-pair with T.

The terms "polypeptide," "peptide" and "protein" are used interchangeably to
refer to a polymer of amino acid residues. The term also applies to amino acid
20   polymers in which one or more amino acids are chemical analogues or modified
derivatives of a corresponding naturally-occurring amino acids.

A "binding protein" is a protein that is able to bind non-covalently to another
molecule. A binding protein can bind to, for example, a DNA molecule (a DNA-
binding protein), an RNA molecule (an RNA-binding protein) and/or a protein
25   molecule (a protein-binding protein). In the case of a protein-binding protein, it can
bind to itself (to form homodimers, homotrimers, *etc.*) and/or it can bind to one or
more molecules of a different protein or proteins. A binding protein can have more
than one type of binding activity. For example, zinc finger proteins have DNA-
binding, RNA-binding and protein-binding activity. A "binding profile" refers to a
30   plurality of target sequences that are recognized and bound by a particular binding
protein. For example, a binding profile can be determined by contacting a binding
protein with a population of randomized target sequences to identify a subpopulation
of target sequences bound by that particular binding protein.

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A "zinc finger DNA binding protein" is a protein or segment within a larger protein that binds DNA in a sequence-specific manner as a result of stabilization of protein structure through coordination of a zinc ion. The term zinc finger DNA binding protein is often abbreviated as zinc finger protein or ZFP.

5   A "designed" zinc finger protein is a protein not occurring in nature whose design/composition results principally from rational criteria. Rational criteria for design include application of substitution rules and computerized algorithms for processing information in a database storing information of existing ZFP designs and binding data.

10   A "selected" zinc finger protein is a protein not found in nature whose production results primarily from an empirical process such as phage display. See *e.g.*, US 5,789,538; US 6,007,988; US 6,013,453; WO 95/19431; WO 96/06166 and WO 98/54311.

        The term "naturally-occurring" is used to describe an object that can be found
15   in nature, as distinct from being artificially produced by man.

        Nucleic acid or amino acid sequences are "operably linked" (or "operatively linked") when placed into a functional relationship with one another. For instance, a promoter or enhancer is operably linked to a coding sequence if it regulates, or contributes to the modulation of, the transcription of the coding sequence. Operably

20   linked DNA sequences are typically contiguous, and operably linked amino acid sequences are typically contiguous and in the same reading frame. However, since enhancers generally function when separated from the promoter by up to several kilobases or more and intronic sequences may be of variable lengths, some polynucleotide elements may be operably linked but not contiguous. Similarly, certain

25   amino acid sequences that are non-contiguous in a primary polypeptide sequence may nonetheless be operably linked due to, for example folding of a polypeptide chain.

        "Specific binding" between, for example, a ZFP and a specific target site means a binding affinity of at least $1 \times 10^6 \ M^{-1}$.

        A "fusion molecule" is a molecule in which two or more subunit molecules are
30   linked, preferably covalently. The subunit molecules can be the same chemical type of molecule, or can be different chemical types of molecules. Examples of the first type of fusion molecule include, but are not limited to, fusion polypeptides (for example, a fusion between a ZFP DNA-binding domain and a methyl binding domain) and fusion nucleic acids (for example, a nucleic acid encoding the fusion polypeptide described

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herein). Examples of the second type of fusion molecule include, but are not limited to, a fusion between a triplex-forming nucleic acid and a polypeptide, and a fusion between a minor groove binder and a nucleic-acid.

A "gene," for the purposes of the present disclosure, includes a DNA region
5   encoding a gene product (see below), as well as all DNA regions which regulate the production of the gene product, whether or not such regulatory sequences are adjacent to coding and/or transcribed sequences. Accordingly, a gene includes, but is not necessarily limited to, promoter sequences, terminators, translational regulatory sequences such as ribosome binding sites and internal ribosome entry sites, enhancers,
10   silencers, insulators, boundary elements, replication origins, matrix attachment sites and locus control regions.

"Gene expression" refers to the conversion of the information, contained in a gene, into a gene product. A gene product can be the direct transcriptional product of a gene (e.g., mRNA, tRNA, rRNA, antisense RNA, ribozyme, structural RNA or any
15   other type of RNA) or a protein produced by translation of a mRNA. Gene products also include RNAs which are modified, by processes such as capping, polyadenylation, methylation, and editing, and proteins modified by, for example, methylation, acetylation, phosphorylation, ubiquitination, ADP-ribosylation, myristilation, and glycosylation.

20   "Gene activation" and "augmentation of gene expression" refer to any process which results in an increase in production of a gene product. A gene product can be either RNA (including, but not limited to, mRNA, rRNA, tRNA, and structural RNA) or protein. Accordingly, gene activation includes those processes which increase transcription of a gene and/or translation of a mRNA. Examples of gene activation
25   processes which increase transcription include, but are not limited to, those which facilitate formation of a transcription initiation complex, those which increase transcription initiation rate, those which increase transcription elongation rate, those which increase processivity of transcription and those which relieve transcriptional repression (by, for example, blocking the binding of a transcriptional repressor). Gene
30   activation can constitute, for example, inhibition of repression as well as stimulation of expression above an existing level. Examples of gene activation processes which increase translation include those which increase translational initiation, those which increase translational elongation and those which increase mRNA stability. In general, gene activation comprises any detectable increase in the production of a gene product,

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preferably an increase in production of a gene product by about 2-fold, more preferably
from about 2- to about 5-fold or any integral value therebetween, more preferably
between about 5- and about 10-fold or any integral value therebetween, more
preferably between about 10- and about 20-fold or any integral value therebetween,

5   still more preferably between about 20- and about 50-fold or any integral value
therebetween, more preferably between about 50- and about 100-fold or any integral
value therebetween, more preferably 100-fold or more.

"Gene repression" and "inhibition of gene expression" refer to any process
which results in a decrease in production of a gene product. A gene product can be

10   either RNA (including, but not limited to, mRNA, rRNA, tRNA, and structural RNA)
or protein. Accordingly, gene repression includes those processes which decrease
transcription of a gene and/or translation of a mRNA. Examples of gene repression
processes which decrease transcription include, but are not limited to, those which
inhibit formation of a transcription initiation complex, those which decrease

15   transcription initiation rate, those which decrease transcription elongation rate, those
which decrease processivity of transcription and those which antagonize transcriptional
activation (by, for example, blocking the binding of a transcriptional activator). Gene
repression can constitute, for example, prevention of activation as well as inhibition of
expression below an existing level. Examples of gene repression processes which

20   decrease translation include those which decrease translational initiation, those which
decrease translational elongation and those which decrease mRNA stability.
Transcriptional repression includes both reversible and irreversible inactivation of gene
transcription. In general, gene repression comprises any detectable decrease in the
production of a gene product, preferably a decrease in production of a gene product by

25   about 2-fold, more preferably from about 2- to about 5-fold or any integral value
therebetween, more preferably between about 5- and about 10-fold or any integral
value therebetween, more preferably between about 10- and about 20-fold or any
integral value therebetween, still more preferably between about 20- and about 50-fold
or any integral value therebetween, more preferably between about 50- and about 100-

30   fold or any integral value therebetween, more preferably 100-fold or more. Most
preferably, gene repression results in complete inhibition of gene expression, such that
no gene product is detectable.

"Modulation" of gene expression includes both gene activation and gene
repression. Modulation can be assayed by determining any parameter that is indirectly

or directly affected by the expression of the target gene. Such parameters include, *e.g.*, changes in RNA or protein levels; changes in protein activity; changes in product levels; changes in downstream gene expression; changes in transcription or activity of reporter genes such as, for example, luciferase, CAT, beta-galactosidase, or GFP (see,

5 *e.g.*, Mistili & Spector, (1997) *Nature Biotechnology* 15:961-964); changes in signal transduction; changes in phosphorylation and dephosphorylation; changes in receptor-ligand interactions; changes in concentrations of second messengers such as, for example, cGMP, cAMP, IP_3, and $Ca2^+$; changes in cell growth, changes in neovascularization, and/or changes in any functional effect of gene expression.

10 Measurements can be made *in vitro, in vivo*, and/or *ex vivo*. Such functional effects can be measured by conventional methods, *e.g.*, measurement of RNA or protein levels, measurement of RNA stability, and/or identification of downstream or reporter gene expression. Readout can be by way of, for example, chemiluminescence, fluorescence, colorimetric reactions, antibody binding, inducible markers, ligand

15 binding assays; changes in intracellular second messengers such as cGMP and inositol triphosphate (IP_3); changes in intracellular calcium levels; cytokine release, and the like.

"Eucaryotic cells" include, but are not limited to, fungal cells (such as yeast), plant cells, animal cells, mammalian cells and human cells.

20 A "regulatory domain" or "functional domain" refers to a protein or a polypeptide sequence that has transcriptional modulation activity. Typically, a regulatory domain is covalently or non-covalently linked to a ZFP to modulate transcription of a gene of interest. Alternatively, a ZFP can act alone, without a regulatory domain, to modulate transcription. Furthermore, transcription of a gene of

25 interest can be modulated by a ZFP linked to multiple regulatory domains.

A "target site" or "target sequence" is a sequence that is bound by a binding protein such as, for example, a ZFP. Target sequences can be nucleotide sequences (either DNA or RNA) or amino acid sequences. By way of example, a DNA target sequence for a three-finger ZFP is generally either 9 or 10 nucleotides in length,

30 depending upon the presence and/or nature of cross-strand interactions between the ZFP and the target sequence.

A "target subsite" or "subsite" is the portion of a DNA target site that is bound by a single zinc finger, excluding cross-strand interactions. Thus, in the absence of cross-strand interactions, a subsite is generally three nucleotides in length. In cases in

which a cross-strand interaction occurs (*i.e.*, a "D-able subsite," see below) a subsite is
four nucleotides in length and overlaps with another 3- or 4-nucleotide subsite.

A "D-able subsite" within a target site has the motif 5'-NNGK-3' (SEQ ID
NO: 2). A target site containing one or more such motifs is sometimes described as a

5   "D-able target site." A zinc finger appropriately designed to bind to a D-able subsite is
sometimes referred to as a "D-able finger." Likewise a zinc finger protein containing
at least one finger designed or selected to bind to a target site including at least one
D-able subsite is sometimes referred to as a "D-able zinc finger protein." Binding
between a D-able zinc finger and a D-able subsite involves, in addition to interactions

10   between the finger and the three nucleotides on the target strand of the subsite, a cross-
strand interaction between the finger and a nucleotide on the opposite strand adjacent
to the 3' nucleotide of the subsite. See co-owned PCT WO00/42219 for additional
disclosure regarding D-able subsites.

The terms "correspondence regime," "substitution rules," "design rules" and

15   "directory" are used interchangeably to denote correlations between an amino acid
occupying a certain position in a zinc finger and a nucleotide occupying a particular
position in a target site bound by the finger. Such correlations are generally not
absolute. In certain cases, design rules correlate the amino acid sequence of a zinc
finger (more particularly, the amino acid sequence of the recognition helix of a zinc

20   finger, still more particularly, a set of amino acids at certain positions within the
recognition helix) with the nucleotide sequence of a triplet or quadruplet subsite.

The term "degenerate" is used to characterize a relationship between two sets
that lacks a one-to-one correspondence between members of the two sets. For
example, if a particular zinc finger amino acid sequence binds more than one distinct

25   target subsite, the binding relationship is degenerate. The terms "randomized" and
"degenerate" are used interchangeably to denote a collection of sequences, for example
oligonucleotide sequences, in which more than one possible residue is present at one or
more positions in the sequence. If a particular sequence is "fully degenerate" over a
given region, it contains all or substantially all possible residues (*e.g.*, DNA

30   nucleotides) at every site within the region.

Characteristics of Zinc Finger Proteins

Zinc finger proteins are formed from zinc finger components. For example,
zinc finger proteins can have one to thirty-seven fingers, commonly having 2, 3, 4, 5 or

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6 fingers. A zinc finger protein recognizes and binds to a target site (sometimes referred to as a target segment) that represents a relatively small subsequence within a target gene. Each component finger of a zinc finger protein binds to a subsite within the target site. The subsite includes a triplet of three contiguous bases on the same

5   strand (sometimes referred to as the target strand). The three bases in the subsite can be individually denoted the 5' base, the mid base, and the 3' base of the triplet, respectively. The subsite may or may not also include a fourth base on the non-target strand, that is the complement of the base immediately 3' of the three contiguous bases on the target strand. The base immediately 3' of the three contiguous bases on the

10   target strand is sometimes referred to as the 3' of the 3' base. Alternatively, the four bases of the target strand in a four base subsite can be numbered 4, 3, 2, and 1, respectively, starting from the 5' base.

Amino acid +1 refers to the first amino acid in the α–helical portion of the zinc finger. Amino acid ++2 refers to the amino acid at position +2 in a second zinc finger

15   adjacent (in the C-terminal direction) to the zinc finger under consideration. In certain circumstances, a zinc finger binds to its triplet subsite substantially independently of other fingers in the same zinc finger protein. Accordingly, the binding specificity of a zinc finger protein containing multiple fingers is, to a first approximation, the aggregate of the specificities of its component fingers. For example, if a zinc finger

20   protein is formed from first, second and third fingers that individually bind to triplets XXX, YYY, and ZZZ, the binding specificity of the zinc finger protein is 3'-XXX YYY ZZZ-5'.

The relative order of fingers in a zinc finger protein, from N-terminal to C-terminal, determines the relative order of triplets in the target sequence, in the 3' to 5'

25   direction, that will be recognized by the fingers. For example, if a zinc finger protein comprises, from N-terminal to C-terminal, first, second and third fingers that individually bind to the triplets 5'-GAC-3', 5'-GTA-3' and 5'-GGC-3', respectively, then the zinc finger protein binds to the target sequence 5'-GGCGTAGAC-3' (SEQ ID NO: 3). If the zinc finger protein comprises the fingers in another order, for example,

30   second finger, first finger, third finger, then the zinc finger protein binds to a target segment comprising a different permutation of triplets, in this example, 5'-GGCGACGTA-3' (SEQ ID NO: 4). See Berg *et al.* (1996) *Science* 271:1081-1086. However, the assessment of binding properties of a zinc finger protein as the aggregate

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of its component fingers can often be influenced by context-dependent interactions of multiple fingers binding in the same protein. Hence, adherence to design rules or correspondence regimes for zinc finger design cannot guarantee absolute specificity for every target sequence, nor can it provide an estimate of which of two (or more)

5   alternative amino acid sequences (specified by design rules) provides stronger and/or more specific binding.

Two or more zinc finger proteins can be linked to have a target site specificity that is, to a first approximation, the aggregate of that of the component zinc finger proteins. For example, a first zinc finger protein having first, second and third

10   component fingers that respectively bind to XXX, YYY and ZZZ can be linked to a second zinc finger protein having first, second and third component fingers with binding specificities, AAA, BBB and CCC. The binding specificity of the combined first and second proteins is thus 5'-CCCBBBAAANZZZYYYXXX-3', where N indicates a short intervening region (typically 0-5 bases of any type). In this situation,

15   the target site can be viewed as comprising two target segments separated by an intervening segment.

Linkage of zinc finger proteins can be accomplished using any of the following peptide linkers:

TGEKP (SEQ ID NO: 5) Liu *et al.* (1997) *Proc. Natl. Acad. Sci. USA*

20   94:5525-5530.

$(G_4S)_n$ (SEQ ID NO: 6) Kim *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:1156-1160.

GGRRGGGS (SEQ ID NO: 7)

LRQRDGERP (SEQ ID NO: 8)

25   LRQKDGGGSERP (SEQ ID NO: 9)

$LRQKD(G_3S)_2ERP$ (SEQ ID NO: 10).

Alternatively, flexible linkers can be rationally designed using computer programs capable of modeling both DNA-binding sites and the peptides themselves, or by phage display methods. In a further variation, non-covalent linkage can be

30   achieved by fusing two zinc finger proteins with domains promoting heterodimer formation of the two zinc finger proteins. For example, one zinc finger protein can be fused with *fos* and the other with *jun* (see Barbas *et al.*, WO 95/119431). Alternatively, dimerization interfaces can be obtained by selection. *See,* for example, Wang *et al.* (1999) *Proc. Natl. Acad. Sci. USA* 96:9568-9573.

Linkage of two or more zinc finger proteins is advantageous for conferring a unique binding specificity within a mammalian genome. A typical mammalian diploid genome consists of 3 x 10^9 bp. Assuming that the four nucleotides A, C, G, and T are randomly distributed, a given 9 bp sequence is present ~23,000 times. Thus a three-

5   finger ZFP recognizing a 9 bp target with absolute specificity would have the potential to bind to ~23,000 sites within the genome. An 18 bp sequence is present once in 3.4 x 10^{10} bp, or about once in a random DNA sequence whose complexity is ten times that of a mammalian genome. Thus, linkage of two three-finger ZFPs, to recognize an 18 bp target sequence, provides the requisite specificity to target a unique site in a typical

10   mammalian genome.

A component finger of a zinc finger protein typically contains approximately 30 amino acids and comprises the following motif (from N to C):

Cys-(X)$_{2-4}$-Cys-X.X.X.X.X.X.X.X.X.X.X.X-His-(X)$_{3-5}$-His

-1 1 2 3 4 5 6 7

15   (SEQ ID NO: 11)

The two invariant cysteine residues in the beta turn and the two invariant histidine residues are coordinated through a zinc atom to maintain the characteristic zinc finger structure. See, *e.g.*, Berg & Shi (1996) *Science* 271:1081-1085. The numbering convention used above is standard in the field for the region of a zinc finger

20   conferring binding specificity. The amino acid on the left (N-terminal side) of the first invariant His residues is assigned the number +6, and other amino acids further to the left are assigned successively decreasing numbers. The alpha helix begins at residue 1 and extends to the residue following the second conserved histidine. The entire helix is therefore of variable length, between 11 and 13 residues.

25   The process of designing or selecting a non-naturally occurring ZFP typically starts with a natural ZFP as a source of framework residues. The process of design or selection serves to define non-conserved positions (*i.e.*, positions -1 to +6) so as to confer a desired binding specificity. One ZFP suitable for use as a framework is the DNA-binding domain of the mouse transcription factor Zif268. The DNA binding

30   domain of this protein has the amino acid sequence:

YACPVESCDRRFSRSDELTRHIRIHTGQKP (F1)(SEQ ID NO: 12)

FQCRICMRNFSRSDHLTTHIRTHTGEKP (F2)   (SEQ ID NO: 13)

FACDICGRKFARSDERKRHTKIHLRQK (F3)   (SEQ ID NO: 14)

and binds to a target 5' GCG TGG GCG 3' (SEQ ID NO: 15).

Another suitable natural zinc finger protein as a source of framework residues
is Sp-1. The Sp-1 sequence used for construction of zinc finger proteins corresponds
to amino acids 531 to 624 in the Sp-1 transcription factor. This portion of the Sp-1
protein is 94 amino acids in length and has the following amino acid sequence:

5   PGKKKQHICHIQGCGKVYGKTSHLRAHLRWHTGERP

FMCTWSYCGKRFTRSDELQRHKRTHTGEKK

FACPECPKRFMRSDHLSKHIKTHQNKKG   (SEQ ID NO: 16)

Sp-1 binds to the target site 5'GGG GCG GGG3'   (SEQ ID NO: 17).

An alternate form of Sp-1, an Sp-1 consensus sequence, has the following

10   amino acid sequence:

meklmgsgd

PGKKKQHACPECGKSFSKSSHLRAHQRTHTGERP

YKCPECGKSFSRSDELQRHQRTHTGEKP

YKCPECGKSFSRSDHLSKHQRTHQNKKG   (SEQ ID NO: 18)

15   Lower case letters are a leader sequence from Shi & Berg (1995) *Chemistry*
and Biology 1:83-89. The optimal binding sequence for the Sp-1 consensus sequence
is 5'GGGGCGGGG3'   (SEQ ID NO: 19). Other suitable ZFPs are described
below.

20   **Initial design**

Zinc finger proteins are typically designed on a modular basis, finger by finger.
Design is begun by the selection of a target site to be bound by the zinc finger protein.
The selection of the target site determines the target subsites bound by the respective
zinc finger components of a zinc finger protein, and hence the design of each finger

25   component. Certain methods of target site selection are disclosed, for example, in co-
owned PCT WO00/42219. Typically, the initial design of each component finger of a
ZFP is independent of the design of every other component finger. In some methods,
all fingers in a ZFP are designed. Such a ZFP typically has three or six fingers. In
other methods, one or several, but not all fingers are initially designed. Fingers having

30   particular binding specificities can also be obtained from previous designs without
modification.

A variety of strategies can be pursued for initial design of a zinc finger of
interest. In one approach, a starting zinc finger sequence is selected for each finger to
be designed. The starting sequences are typically Zif268, Sp-1, Sp-1 consensus

sequence or previously-designed zinc fingers. Preferably the starting zinc finger
sequence binds to a target subsite similar to the target subsite to which the zinc finger
of interest is to bind. Amino acids present in the starting sequence, particularly at
positions -1, +2, +3 and +6, are then compared with the amino acids specified by
5   various substitution rules for binding to the desired target subsite. If there is a
discrepancy between any of the starting amino acids and the amino acids called for by
the rules, the sequence of the starting finger is substituted at the appropriate position,
according to the substitution rules. At this stage, the substitution is conceptual, and
can, for example, be performed by computer. Having conceptually determined the
10   amino acid sequence of each of the fingers of the zinc finger protein of interest,
typically a nucleic acid is synthesized encoding a protein comprising the component
fingers. The nucleic acid is expressed to produce the protein, for example, by cloning
the nucleic acid into an expression vector such that the ZFP-encoding sequence is
operatively linked to a promoter, and introducing the expression vector into an
15   appropriate cell.

Many substitution rules are described or inferable from prior publications such
as, for example: U.S. Patents No. 5,789,538; 6,007,988; 6,013,453; and 6,140,081;
WO 95/19431; WO 98/53057; WO 98/53058; WO 98/530-59; WO 98/53060;
WO 98/54311; WO 00/23464; WO 00/42219; Choo and Klug (1997) *Curr. Opin.*
20   *Struct. Biol.* 7:117-125; Greisman and Pabo (1997) *Science* 275:657-661; Jamieson *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:12834-12839; Kim and Berg (1996) *Nature Struct. Biol.* 3:940-945; Gogos *et al.* (1996) *Proc. Natl. Acad. Sci. USA* 93:2159-2164; Swirnoff and Milbrandt (1995) *Mol. Cell. Biol.* 15:2275-2287; Choo and Klug (1994) *Proc. Natl. Acad. Sci. USA* 91:11163-11167; Choo and Klug (1994) *Proc. Natl. Acad.*
25   *Sci. USA* 91:11168-11172; Jamieson *et al.* (1994) *Biochemistry* 33:5689-5695; Rebar and Pabo (1994) *Science* 263:671-673; Fairall *et al.* (1993) *Nature* 366:483-487; Desjarlais and Berg (1992) *Proc. Natl. Acad. Sci. USA* 89:7345-7349; Thukral *et al.* (1992) *Mol. Cell. Biol.* 12:2784-2792; Suzuki and Yagi (1994) *Proc. Natl. Acad. Sci. USA* 91:12357-12361; Segal *et al.* (1999) *Proc. Natl. Acad. Sci. USA* 96:2758-2763;
30   Wolfe *et al.* (1999) *J. Mol. Biol.* 285:1917-1934; Isalan *et al.* (1998) *Biochemistry* 37:12026-12033; and Isalan *et al.* (1997) *Proc. Natl. Acad. Sci. USA* 94:5617-5621. Some examples of substitution rules are (see co-owned U.S. Patent Application Serial No. 09/444,241):

(1) if the 5' base is G, aa+6 is R or K;

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(2) if the 5' base is A, aa+6 is N or Q;

(3) if the 5' base is T, aa+6 is S or T and preferably, aa++2 is D;

(4) if the 5' base is C; and aa++2 is D, aa+6 is E; if aa++2 is not D, aa+6 can be any amino acid;

(5) if the mid base is G, aa+3 is H;

(6) if the mid base is A, aa+3 is N;

(7) if the mid base is T, aa+3 is S, T or V, or if aa+1, or aa+6 is a small residue, aa+3 is A;

(8) if the mid base is C, aa+3 is S, D, E, V or T;

(9) if the 3' base is G, aa-1 is R;

(10) if the 3' base is A, aa-1 is Q;

(11) if the 3' base is T, aa-1 is T, N or Q;

(12) if the 3' base is C, aa-1 is D;

(13) if the 3' of the 3' base is G, aa+2 is D;

(14) if the 3' of the 3' base is T, and the 3' base is not G, aa+2 is S;

(15) if the 3' of the 3' base is C, aa+2 is not D;

(16) if the 3' of the 3' base is A, aa+2 is not D;

(17) if the 3' base is G and the 3' of the 3' base is G or T, aa-1 is R and aa+2 is D;

(18) irrespective of target sequence, for the N-terminal finger of a three-finger ZFP, aa +1 is T and aa +5 is R;

(19) irrespective of target sequence, for the middle finger of a three-finger ZFP, aa +1 is S and aa +5 is Q;

(20) irrespective of target sequence, for the C-terminal finger of a three-finger ZFP, aa +1 is S and aa +5 is S;

(21) if the 5' base is G, aa+6 is S or T;

(22) if the 5' base is A, aa+6 is V;

(23) if the 5' base is T, aa+6 is V;

(24) if the 5' base is C, aa+6 is S, T, V, A or N;

(25) if the mid base is C, aa+3 is L;

(26) If the 3' base is T, aa-1 is H;

(27) if the 3' base is C, aa-1 is H;

(28) if the 3' of the 3' base is G, aa+2 is E;

(29) if the 3' of the 3' base is A, aa+2 is Q or R;

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     (30) if the 3' of the 3' base is C, aa+2 is N, Q, R, H or K;

     (31) if the 3' of the 3' base is T, aa+2 is T.

     Synthesis of ZFPs and nucleic acids encoding them is disclosed, for example,

5   in co-owned WO 00/42219 and references cited therein, all of which are hereby

incorporated by reference.

     As can be seen, most of the above rules offer a number of candidate amino

acids for recognizing a particular nucleotide in a particular location in a target subsite,

without providing further guidance for choosing between them. Furthermore, all of the

10   rules are, at best, approximations of a complex specificity relationship between amino

acids in a finger and nucleotides in a target subsite. See, for example, Pabo *et al.*

(2000) *J. Mol. Biol.* **301**:597-624. The reliability and generality of the different rules

are therefore expected to be quite variable depending on the rule, the target site, and

the framework residues into which the substitution is introduced. For example, in

15   certain circumstances, a particular three-nucleotide subsite can be recognized by more

than one zinc finger sequence, depending on the location of the subsite within the

target sequence and/or the location of the finger within the ZFP, among other

considerations. In other cases, more than one zinc finger sequence can recognize the

same target subsite at the same location within a sequence, *i.e.*, certain substitution

20   rules are degenerate. In these cases, there are usually differences in affinity and/or

specificity between the different zinc fingers which recognize the same target subsite.

Conversely, a zinc finger of defined sequence can recognize more than one target

subsite, depending on the context of the subsite and/or the finger.

     Given that, in certain situations, more than one zinc finger sequence can bind a

25   particular target subsite, it can be useful in these situations to select a zinc finger that

binds the subsite with maximal specificity. Alternatively, it can be useful, in other

circumstances, to select a zinc finger, from among several that bind a given target

subsite, that binds with lower affinity and/or specificity. Similarly, for those situations

in which a particular zinc finger sequence binds more than one subsite, it can be useful

30   to determine the subsite to which the zinc finger binds most strongly (or weakly). The

present disclosure provides methods for making all of these types of selection.

     As an alternative to designs based on substitution rules, initial designs can also

be performed in existing or known ZFPs. Computerized design methods that

systematically evaluate information from a database of existing ZFP designs are

described, for example, in co-owned WO 00/42219. Alternatively, initial designs can be based simply on empirical observation of existing designs and/or existing ZFPs that have been obtained by selection. For example, one can substitute a position in a starting ZFP sequence, so as to introduce an amino acid occupying a corresponding

5   position in an existing design that has the same or similar specificity to that desired.

Accordingly, many sources of information can be consulted for initial design of a target-specific ZFP. Not all sources are equally reliable, nor does each source apply to every situation that may be encountered in the design of a ZFP of predetermined specificity. As a result, some elements of arbitrary choice are usually made, both as to

10   the source relied on (*i.e.*, which set of design rules to use in the design of a ZFP, whether to use design rules and/or empirically derived sequences) and its application (*i.e.*, choice of alternative amino acids specified by a design rule). The nature of such arbitrary choices necessarily affects the resulting ZFP. Furthermore, the number of permutations of candidate ZFP designs that might result if one were to pursue each of

15   the arbitrary choices would be quite large and would likely include a number of ZFPs lacking the requisite binding specificity.

Assessment of binding specificity

The binding specificity of designed ZFPs can be tested systematically by any

20   method known to one of skill in the art. Accordingly, a variety of methods for assessing protein-DNA, protein-RNA and protein-protein binding, binding specificity and binding site selectivity can be used. Preferably, a testing method determines the individual contribution to binding specificity of at least each of amino acids −1 to +6 of the recognition helix, to identify amino acids which can potentially be changed in

25   subsequent designs. In one embodiment, methods that select a subset of binding oligonucleotides or peptides from a large collection, known as site selection methods, are used to test binding specificity. Several of these methods are provided by way of example.

An exemplary method for measuring DNA-binding specificity of a ZFP is

30   outlined in Figure 1. Briefly, a double stranded oligonucleotide is produced that contains a randomized central segment flanked by constant regions of sufficient length to support primer binding. The central randomized region typically has the same length as the intended target site; although, in certain embodiments, it can be longer. For example, lengths of 9 or 10 base pairs can be used for screening three- finger ZFPs

(depending on whether a D-able site is present for the N-terminal finger) and lengths of 18-25 bases can be used for testing 6-finger ZFPs (depending on presence of D-able sites for N-terminal fingers of component zinc finger proteins, and the number of bases between target sites for component zinc fingers). *See* co-owned WO 00/42219 for a

5   discussion of selection of D-able sites and design of ZFPs to bind to D-able sites. The central segment is preferably fully degenerate, *i.e.*, it contains all or substantially all oligonucleotide sequences having the length of the intended target site. Substantially all means that at least 90%, preferably at least 95% or 99%, or any integral value therebetween, of such sequences are present. In some methods, only one or a few but

10   not all target subsites within a target site are randomized. In other methods, one or a few but not all bases within a target subsite are randomized.

A ZFP of interest is screened for binding site specificity using a method comprising the following steps: (1) The ZFP is allowed to bind to a mixture of degenerate oligonucleotides, (2) the ZFP-oligonucleotide complexes are separated

15   from unbound oligonucleotides, for example, by gel electrophoresis, (3) complexes are selected, for example, by elution from a gel, (4) bound oligonucleotides are dissociated from the eluted complexes, (5) the bound oligonucleotides are amplified, for example, by a polymerase chain reaction, using primers that anneal to the constant sequences flanking the randomized central section. The entire process is then repeated, for

20   example, thee to five times, using the amplified oligonucleotides from a previous cycle as the starting materials in a subsequent cycle. That is, for each subsequent cycle, the ZFP of interest is bound, in step 1, to the amplified oligonucleotides from the previous cycle (rather than to a mixture of degenerate oligonucleotides). Oligonucleotides that are bound by the ZFP of interest through multiple cycles are cloned and sequenced.

25   Any number of cycles can be used, and the number of cycles can be preset or determined empirically.

The different sequences of the cloned oligonucleotides are then aligned and compared at congruent positions. Oligonucleotides sequences are aligned using programs known in the art such as, for example, GAP and BESTFIT. Often,

30   alignments can be performed by eye. Upon analysis of the aligned sequences in the region bound by the ZFP, it is observed that a given position in the sequence is occupied by the same nucleotide in most of the selected oligonucleotides. However, typically, one or a few positions are occupied by different nucleotides in different selected sequences. The extent of sequence divergence provides a measure of the

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binding specificity of the ZFP of interest for the nucleotide at that (those) position(s). For example, if a given position is occupied by the same nucleotide in each of twenty sequenced oligonucleotides, then that position is selected with 100% specificity (within a statistical measure of sampling accuracy). Conversely, if a given position is

5   occupied by the same nucleotide in 14 oligonucleotides out of 20, and the remaining six oligonucleotides contain various nucleotides at that position, the position is selected with 70% specificity. In general, high specificity is desired, and if the specificity for one or more nucleotides in the target sequence falls below a certain threshold (as determined by the operator and described herein), the design of the zinc finger is

10   altered to correct binding specificity for that (those) nucleotide(s).

       Similar methods can be used to determine RNA- and protein-binding specificity of a designed protein. For example, to determine RNA-binding specificity, a population of degenerate oligonucleotides is prepared in which one or both of the constant regions that flank the internal randomized segment can contain a promoter

15   sequence for an RNA polymerase such as, for example, T3, T7 or SP6 RNA polymerase. Double-stranded oligonucleotides are constructed as described herein (*e.g.*, Examples 1 and 4), and transcribed to produce a collection of RNA molecules wherein the central portion of the RNA sequence is randomized. The binding assay is conducted, selected RNAs are converted to DNA using a reverse transcriptase enzyme

20   and a primer complementary to one of the constant flanking regions, and selection is continued. The promoter sequence can be restored or a new one introduced by, for example, using an oligonucleotide primer containing the promoter sequence as a 5' extension. Determination of protein-binding specificity is achieved, for example, by construction of a combinatorial peptide, the sequence of all or a portion of which is

25   randomized. Complexes of peptides with the binding protein of interest are selected, for example, by immunoprecipitation using an antibody directed against the binding protein of interest or against an immunogenic tag (such as, for example, a FLAG or hemagglutinin epitope) attached to the protein of interest. Selected peptides are obtained from the immunoprecipitate and used to continue the selection.

30   Alternatively, or additionally, DNA- and/or RNA-binding specificity of a designed binding protein can be evaluated by ELISA assay of binding of a zinc finger protein to different oligonucleotides in different reaction mixtures. Several ELISA's can be performed in parallel in the wells of a microtiter plate. By way of example, the binding specificity for a triplet subsite, of a component finger of a ZFP of interest, can

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be determined as follows. Twelve wells of a microtiter plate are coated with, for example, 9-mer oligonucleotides having the following triplet sequences: GNN, ANN, TNN, CNN, NGN, NAN, NTN, NCN, NNG, NNA, NNT, and NNC. The other six base pairs of each of the oligonucleotides comprise a sequence that matches the known

5   (or expected) specificity of the other two fingers of the ZFP of interest. *See,* for example, Choo *et al.* (1994) *Proc. Natl. Acad. Sci. USA* 91:11,168-11,172. A finger with absolute specificity binds strongly to three of the 12 wells. For example, a finger whose specificity is GGG will bind to the GNN, NGN, and NNG wells, and a finger with TAC specificity will bind to the TNN, NAN, and NNC wells. Fingers with less

10   that absolute specificity for a particular position of the triplet bind to up to three additional wells. For example, a ZFP intended to bind the triplet TNN, but which in fact has less than 100% specificity might also bind to ANN, CNN and GNN. A measure of binding specificity is provided by the ratio of binding by the ZFP to the intended target triplet to the aggregate binding by the ZFP to the three triplets that

15   differ from the intended triplet at a single position. The process can be repeated to test the specificity of other component fingers for bases in their respective subsites.

Binding specificity can also be systematically evaluated *in vivo* in a host cell, such as yeast. For example, a polynucleotide encoding a ZFP fused to a transcriptional activation domain can be cloned into a first plasmid designed for expression in a host

20   cell. Such a plasmid can be co-transformed with a second plasmid in which a randomized oligonucleotide has been cloned upstream of a reporter gene, in such a way that expression of the reporter gene is dependent on the binding of the ZFP to the cloned oligonucleotide sequence. For example, the reporter gene can be linked to a weak promoter that provides only minimal expression in the absence of activation by a

25   ZFP that binds to the cloned oligonucleotide sequence. After co-transformation with these two plasmids, cells exhibiting strong expression of the reporter gene are selected. The cloned oligonucleotides from these cells are isolated and/or sequenced, and the sequences are aligned and analyzed as described above.

The threshold at which specificity of a zinc finger protein for a particular

30   nucleotide in a target sequence (*e.g.,* the requisite specificity as determined by the operator) depends on the application envisaged for the zinc finger protein. For example, higher specificity might be required for a ZFP that is to be used as an *in vivo* therapeutic, compared to one designed as an *in vitro* diagnostic. However, in general, a nucleotide at a given position in a target sequence does not possess the requisite

specificity (or is inadequately specified) if fewer than 50% to 70% (or any integral value therebetween), preferably fewer than 70% to 80% (or any integral value therebetween), more preferably fewer than 80% to 90% (or any integral value therebetween) of randomized oligonucleotides that bind to the zinc finger protein

5   contain the expected target nucleotide at that position. For example, if a selection experiment yields 10 clones, eight of which have the desired base at the position under analysis and two of which have a base other than the desired base at that position, the specificity of binding is 80%. Such a specificity is adequate if the threshold is defined as being at least 80% specificity, but inadequate if the threshold is defined as being at

10   least 90% specificity.

Redesign

Following determination of binding specificity, the binding protein of interest is redesigned, by altering its amino acid sequence, to improve binding specificity. For

15   example, in the case of a zinc finger DNA-binding protein, having identified which base(s) in the intended target sequence that do not possess the requisite specificity, the amino acid(s) in the zinc finger protein that affect binding specificity is (are) identified and substituted with one or more other amino acids. An exemplary method for identification of the responsible amino acid(s) is as follows. Initially, one determines

20   which finger in a multi-finger ZFP is responsible for binding, using the knowledge that the N-terminal finger of a three-finger ZFP binds to the 3'-most triplet of a 9 base target sequence, the middle finger binds to the middle triplet and the C-terminal finger binds to the 5'-most triplet. Having determined the responsible finger, one then determines which amino acid positions within the finger do not bind with the requisite

25   specificity, and should therefore be substituted to improve binding. Specifically, the 5'-most base of a triplet subsite is contacted, in many cases, by the amino acid at position +6 in the recognition helix of a zinc finger; the middle base of a triplet subsite is contacted, in many cases, the amino acid at position +3 in the recognition helix; the 3' base of the triplet subsite is contacted, in many cases, by the amino acid at position

30   -1 with respect to the beginning of the recognition helix, and the base immediately adjacent (to the 3' side) of the 3' base of the triplet subsite is contacted, in certain circumstances, at least in part by the +2 amino acid of the adjacent zinc finger (to the C-terminal side). For example, a G residue adjacent (to the 3' side) to the 3' base of a subsite is recognized by an aspartate (D) residue at position +2 of the finger that

recognizes the subsite. A D residue at position +2 of a finger can also interact with an arginine (R) residue at position −1 of the same finger, buttressing the interaction between the R residue and the 3'G residue of its target subsite, thereby enhancing the specificity of the arginine-guanine interaction. Additional correlations between amino

5   acids at particular positions in a zinc finger and nucleotides at a particular position in a subsite can be determined, for example, by correlating the amino acid sequences of collections of ZFPs with their corresponding target sites and by empirical analysis of the site specificity of ZFPs obtained by selection.

Having identified the amino acid position(s) likely to affect binding specificity,

10   one or more alternative amino acids are chosen for substitution at that (those) position(s). Appropriate substitutions can be determined, for example, from substitution rules used in the initial design of the ZFP, by empirical analysis of the site specificity of ZFPs obtained by selection., and/or from databases of zinc finger sequences and their corresponding target site sequences. As a simple example,

15   substitution rule (1) (*supra*) provides that if the 5' base of a subsite is G, position +6 in the recognition helix of the finger recognizing the subsite is R or K. If R is selected for the initial design, and this selection results in inadequate specificity, then K can be selected in a redesign step. As another example, rule (7) provides that, in the sequence of a zinc finger recognizing a subsite whose mid base is T; aa+3 is S, T, or V, or if

20   aa+1 or aa+6 is a small residue, then aa+3 is A. Thus, if S is selected in an initial design but does not confer the requisite specificity; then T, V, or possibly A can be substituted at position +3 in the second round. Alternatively, substitution in a redesign step can be based on an existing ZFP design. For example, one can compare the sequence of a ZFP under design with other ZFPs directed to the same or similar target

25   sequences, and make substitutions in the amino acid sequence of the ZFP under design so that it has the same amino acid at a particular position as does a previous design, or a consensus of previous designs.

Substitutions to improve binding specificity need not be restricted to amino acids located at known base-contacting positions (*i.e.*, positions −1, +2, +3 and +6 of

30   the recognition helix). Substitutions at other positions can influence, for example, phosphate contacts, protein folding, and/or interactions between recognition helices to improve binding specificity. *See* Example 5.

Having conceptually redesigned a zinc finger protein, a nucleic acid encoding the redesign is synthesized. Typically, the redesign affects only one or a few codons

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within one finger of a multi-finger protein. In such instances, a nucleic acid encoding
a redesign can often be produced by site-specific mutagenesis of a previously-designed
nucleic acid. Alternatively, a nucleic acid encoding a redesign can be synthesized *de
novo*.

5   The redesigned zinc finger protein is screened for binding specificity in the
same manner as previously. If the redesigned finger has adequate specificity for each
base in its target site, then it is ready for subsequent use. If one or more nucleotides
are still not recognized with the requisite specificity, then further iterations of redesign
and screening, as described *supra*, are performed until a suitable zinc finger protein is
10   obtained.

It will be clear to those of skill in the art that substitution methods similar to
those described above can be used to optimize the binding of a protein to a RNA or
protein target.

15   **Additional Applications**

The methods of site selection described above, in which a protein is tested for
binding specificity using a collection of random oligonucleotides or peptides, have
applications beyond facilitating the design of sequence-specific binding proteins. For
example, a ZFP designed or selected, by any method, to have an intended target site
20   specificity can be analyzed, using the aforementioned methods, to reveal a profile of
binding specificity. The profile indicates the specificity of the ZFP for its intended
target relative to other secondary target sites that are also bound, albeit possibly to a
lesser extent, by the ZFP. For example, the sequence 5'TGG GGG GGG3' (SEQ ID
NO: 20) is a secondary target site for the ZFP VEGF-III, whose primary target site is
25   GGG GAG GNT (SEQ ID NO: 35; see Example 5, Table 3). A secondary site can be
either the sequence of a specific oligonucleotide found to bind to a ZFP or a consensus
of several oligonucleotide sequences, all of which are bound by the ZFP. Having
identified one or more secondary sites to which a ZFP binds, it is then possible to
determine where, if at all, such sites are found in naturally-occurring DNA sequences.
30   Such an analysis can be performed using standard DNA sequence comparison tools on
DNA sequence databases, such as GenBank. The location of secondary sites in natural
DNA sequences can have significant implications with respect to the intended use of a
ZFP. For example, if a secondary site occurs within an expressed region of genomic
DNA or within a regulatory region of a non-target gene, special caution would be

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advisable before proceeding with that ZFP as an *in vivo* reagent, particularly as an *in vivo* therapeutic, due to concern that the ZFP might cause unwanted effects through binding to its secondary site(s). For example, if it is determined that a designed ZFP has a secondary site in a particularly sensitive region, such as an oncogene, use of that

5   ZFP as a therapeutic is counterindicated, at least without redesign. Conversely, if secondary binding site(s) of a ZFP are determined to lie in relatively innocuous areas of genomic DNA (*e.g.*, areas distant from coding regions), one can be reasonably sure that the ZFP will exert its effects through its intended target sequence, without substantial secondary effects resulting from binding to secondary target sites.

10 Determination of the location(s) of secondary binding sites can thus provide a good indication of whether redesign of a zinc finger protein, to improve binding specificity, is needed.

In a further application, site specific binding experiments using random oligonucleotide targets are used to compare two or more different zinc finger proteins

15 that have been designed or selected to bind to the same target. The different zinc finger proteins may appear to bind a single target with similar affinities and to exhibit specific binding to the target in the presence of, for example, denatured bulk DNA or particular individual control oligonucleotides. In these circumstances, it is difficult to know which of the two zinc finger proteins to chose as a candidate for *e.g.*, preclinical

20 or clinical studies. The profile of secondary binding sites provided by a site selection analysis provides a criterion for choosing a preferred ZFP. In general, the zinc finger protein binding to the fewest number of secondary sites and/or with the least frequency of binding to secondary sites, is preferred. Also, insofar as a zinc finger protein does bind to secondary sites, it is preferred that those secondary sites occur within non-

25 coding and non-regulatory regions of genomic DNA. Thus, the binding profiles can be used to select the ZFP with the desired binding specificity. Additionally, comparison of binding profiles can be used when the target sites are different, for example to target the gene using whichever target site is bound with better specificity by the ZFPs tested.

30   **Zinc finger fusion proteins**

Zinc finger proteins are often expressed as fusion proteins comprising a heterologous domain. Exemplary heterologous domains include, *e.g.*, functional domains of transcription factors (activators, repressors, co-activators, co-repressors), silencers, oncogenes (e.g., myc, jun, fos, myb, max, mad, rel, ets, bcl, myb, mos family

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members *etc.*); portions of proteins which form a component of a chromatin remodeling complex; DNA repair enzymes and their associated factors and modifiers; DNA rearrangement enzymes and their associated factors and modifiers; chromatin associated proteins and their modifiers (*e.g.* kinases, phosphatases, methylases,

5   demethylases, acetylases and deacetylases); and DNA modifying enzymes (*e.g.*, methyltransferases, demethylases, topoisomerases, helicases, ligases, kinases, phosphatases, polymerases, endonucleases) and their associated factors and modifiers.

In certain embodiments, fusion proteins comprising a zinc finger DNA-binding domain and a functional domain are used for modulation of gene expression as

10   described, for example, in co-owned PCT WO 00/41566. Modulation includes repression and activation of gene expression; the nature of the modulation generally depending on the type of functional domain present in the fusion protein. Any polypeptide sequence or domain capable of influencing gene expression, which can be fused to a DNA-binding domain, is suitable for use. Preferred repression domains

15   include, for example, KRAB repression domains (*e.g.*, from the human KOX-1 protein) and methyl-binding domains from proteins such as, for example, MeCP1, MeCP2, MBD1, MBD2, MBD3, MBD4 and the MBD-like proteins. Thiesen *et al.* (1990) *New Biologist* 2:363-374; Margolin *et al.* (1994) *Proc. Natl. Acad. Sci. USA* 91:4509-4513; Pengue *et al.* (1994) *Nucl. Acids Res.* 22:2908-2914; Witzgall *et al.*

20   (1994) *Proc. Natl. Acad. Sci. USA* 91:4514-4518; Lewis *et al.* (1992) *Cell*, 69:905-914; Nan *et al.* (1997) *Cell* 88:471-481; Hendrich et al. (1998) Mol. Cell. Biol. 18:6538-6547. Additional exemplary repression domains include, but are not limited to, SID, members of the DNMT family (*e.g.*, DNMT1, DNMT3A, DNMT3B), and Rb. *See*, for example, Bird *et al.* (1999) *Cell* 99:451-454; Tyler *et al.* (1999) *Cell* 99:443-

25   446; Knoepfler *et al.* (1999) *Cell* 99:447-450; and Robertson *et al.* (2000) *Nature Genet.* 25:338-342. Additional exemplary repression domains include, but are not limited to, ROM2 and AtHD2A. *See*, for example, Chem *et al.* (1996) *Plant Cell* 8:305-321; and Wu *et al.* (2000) *Plant J.* 22:19-27.

Preferred domains for achieving activation of expression of a target gene

30   include the HSV VP16 activation domain, nuclear hormone receptors functional domains, the p65 subunit of nuclear factor kappa B, and artificial chimeric functional domains such as VP64. Hagmann *et al.* (1997) *J. Virol.* 71:5952-5962; Torchia *et al.* (1998) *Curr. Opin. Cell. Biol.* 10:373-383; Bitko *et al.* (1998) *J. Virol.* 72:5610-5618;

Doyle *et al.* (1997) *Neuroreport* 8:2937-2942; Liu *et al.* (1998) *Cancer Gene Ther.*
5:3-28; Seifpal *et al.* (1992) *EMBO J.* 11:4961-4968.

Additional exemplary activation domains include, but are not limited to,
p300, CBP, PCAF,SRC1 PvALF, AtHD2A and ERF-2. *See*, for example, Robyr

5   *et al.* (2000) *Mol. Endocrinol.* 14:329-347; Collingwood *et al.* (1999) *J. Mol.
Endocrinol.* 23:255-275; Leo *et al.* (2000) *Gene* 245:1-11; Manteuffel-
Cymborowska (1999) *Acta Biochim. Pol.* 46:77-89; McKenna *et al.* (1999) *J.
Steroid Biochem. Mol. Biol.* 69:3-12; Malik *et al.* (2000) *Trends Biochem. Sci.*
25:277-283; and Lemon *et al.* (1999) *Curr. Opin. Genet. Dev.* 9:499-504.

10   Additional exemplary activation domains include, but are not limited to, OsGAI,
HALF-1, C1, AP1, ARF-5, -6, -7, and –8, CPRF1, CPRF4, MYC-RP/GP, and
TRAB1. *See*, for example, Ogawa *et al.* (2000) *Gene* 245:21-29; Okanami *et al.*
(1996) *Genes Cells* 1:87-99; Goff *et al.* (1991) *Genes Dev.* 5:298-309; Cho *et al.*
(1999) *Plant Mol. Biol.* 40:419-429; Ulmason *et al.* (1999) *Proc. Natl. Acad. Sci.*

15   *USA* 96:5844-5849; Sprenger-Haussels *et al.* (2000) *Plant J.* 22:1-8; Gong *et al.*
(1999) *Plant Mol. Biol.* 41:33-44; and Hobo *et al.* (1999) *Proc. Natl. Acad. Sci.*
USA 96:15,348-15,353.

In certain embodiments, polynucleotides encoding fusions as described
supra are synthesized and introduced into cells to express a fusion polypeptide.

20   Such fusion polynucleotides are constructed by methods that are well-known to
those of skill in the art.

Delivery of Zinc Finger Proteins

ZFPs whose DNA-binding specificity have been optimized as disclosed herein

25   can be introduced into cells, preferably as part of a fusion protein, as described *supra*.
An important factor in the cellular administration of polypeptide compounds, such as
ZFPs, is to insure that the polypeptide has the ability to traverse the plasma membrane
of a cell, or the membrane of an intra-cellular compartment such as the nucleus.
Cellular membranes are composed of lipid-protein bilayers that are freely permeable to

30   small, nonionic lipophilic compounds and are inherently impermeable to polar
compounds, macromolecules, and many therapeutic or diagnostic agents. However,
proteins and other compounds (such as, for example, liposomes), which have the
ability to translocate polypeptides such as ZFPs across a cell membrane, have been
described.

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For example, "membrane translocation polypeptides" have amphiphilic or hydrophobic amino acid subsequences that have the ability to act as membrane-translocating carriers. In one embodiment, homeodomain proteins have the ability to translocate across cell membranes. The shortest internalizable peptide of a

5   homeodomain protein, Antennapedia, was found to be the third helix of the protein, from amino acid position 43 to 58 *See, e.g.*, Prochiantz (1996) *Curr. Opin. Neurobiol.* 6:629-634. Another subsequence, the h (hydrophobic) domain of signal peptides, was found to have similar cell membrane translocation characteristics. *See, e.g.*, Lin *et al.* (1995) *J. Biol. Chem.* 270:14255-14258.

10   Additional examples of peptide sequences which can be linked to a ZFP, for facilitating uptake of the ZFP into cells, include: an 11 amino acid peptide from the *tat* protein of HIV; a 20-residue peptide sequence which corresponds to amino acids 84-103 of the p16 protein (*see* Fahraeus *et al.* (1996) *Curr. Biol.* 6:84); the third helix of the 60-amino acid long homeodomain of Antennapedia (Derossi *et al.* (1994) *J.*

15   *Biol. Chem.* 269:10444); the h region of a signal peptide such as the Kaposi fibroblast growth factor (K-FGF) h region (Lin *et al., supra*); and the VP22 translocation domain from HSV (Elliot *et al.* (1997) *Cell* 88:223-233. Other suitable chemical or biochemical moieties that provide enhanced cellular uptake can also be linked to ZFPs, either covalently or noncovalently.

20   Toxin molecules also have the ability to transport polypeptides across cell membranes. Binary toxins, composed of at least two parts, comprise a translocation (binding) domain or polypeptide and a separate toxin domain or polypeptide. Typically, the translocation domain or polypeptide binds to a cellular receptor, to facilitate receptor-mediated transport of the toxin into the cell. Several bacterial

25   toxins, including *Clostridium perfringens* iota toxin, diphtheria toxin (DT), *Pseudomonas* exotoxin A (PE), pertussis toxin (PT), *Bacillus anthracis* toxin, and pertussis adenylate cyclase (CYA), have been used in attempts to deliver peptides to the cell cytosol as internal or amino-terminal fusions. Arora *et al.* (1993) *J. Biol. Chem.* 268:3334-3341; Perelle *et al.* (1993) *Infect. Immun.* 61:5147-5156; Stenmark

30   *et al.* (1991) *J. Cell Biol.* 113:1025-1032; Donnelly *et al.* (1993) *Proc. Natl. Acad. Sci. USA* 90:3530-3534; Carbonetti *et al.* (1995) *Abstr. Annu. Meet. Am. Soc. Microbiol.* 95:295; Sebo *et al.* (1995) *Infect. Immun.* 63:3851-3857; Klimpel *et al.* (1992) *Proc. Natl. Acad. Sci USA* 89:10277-10281; and Novak *et al.* (1992) *J. Biol. Chem.* 267:17186-17193.

Such subsequences can be used to translocate ZFPs across a cell membrane. ZFPs can be conveniently fused to or derivatized with such sequences. Typically, the translocation sequence is provided as part of a fusion protein. Optionally, a linker can be used to link the ZFP and the translocation

5   sequence. Any suitable linker can be used, *e.g.*, a peptide linker. In certain embodiments, polynucleotides encoding fusions as described *supra* are synthesized and introduced into cells to express a fusion polypeptide. Such fusion polynucleotides are constructed by methods that are well-known to those of skill in the art.

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Exemplary Applications: Optimized ZFPs

ZFPs that bind to a particular target gene, and the nucleic acids encoding them, can be used for a variety of applications. These applications include therapeutic methods in which a ZFP, a ZFP fusion polypeptide, or a nucleic acid encoding a ZFP

15   or a ZFP fusion is administered to a subject and used to modulate the expression of a target gene within the subject (as disclosed, for example, in co-owned PCT WO 00/41566). The modulation can be in the form of repression, for example, when the target gene resides in a pathological infecting microorganism, or in an endogenous gene of the patient, such as an oncogene or viral receptor, that is contributing to a

20   disease state. Alternatively, the modulation can be in the form of activation, when activation of expression or increased expression of an endogenous cellular gene (such as , for example, a tumor suppressor gene) can ameliorate a disease state. Exemplary ZFP fusion polypeptides for both activation and repression of gene expression are disclosed *supra*. For such applications, ZFPs, ZFP fusion polypeptides or, more

25   typically, nucleic acids encoding them are formulated with a pharmaceutically acceptable carrier as a pharmaceutical composition.

Pharmaceutically acceptable carriers and excipients are determined in part by the particular composition being administered, as well as by the particular method used to administer the composition. *See,* for example, *Remington's Pharmaceutical*

30   *Sciences,* 17th ed., 1985. ZFPs, ZFP fusion polypeptides, or polynucleotides encoding ZFP fusion polypeptides, alone or in combination with other suitable components, can be made into aerosol formulations (*i.e.*, they can be "nebulized") to be administered *via* inhalation. Aerosol formulations can be placed into pressurized acceptable propellants, such as dichlorodifluoromethane, propane, nitrogen, and the like. Formulations

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suitable for parenteral administration, such as, for example, by intravenous, intramuscular, intradermal, and subcutaneous routes, include aqueous and non-aqueous, isotonic sterile injection solutions, which can contain antioxidants, buffers, bacteriostats, and solutes that render the formulation isotonic with the blood of the

5   intended recipient, and aqueous and non-aqueous sterile suspensions that can include suspending agents, solubilizers, thickening agents, stabilizers, and preservatives. Compositions can be administered, for example, by intravenous infusion, orally, topically, intraperitoneally, intravesically or intrathecally. The formulations of compounds can be presented in unit-dose or multi-dose sealed containers, such as

10   ampoules and vials. Injection solutions and suspensions can be prepared from sterile powders, granules, and tablets of the kind previously described.

The dose administered to a patient should be sufficient to effect a beneficial therapeutic response in the patient over time. The dose is determined by the efficacy and binding affinity (K_d) of the particular ZFP employed, the target cell, and the

15   condition of the patient, as well as the body weight or surface area of the patient to be treated. The size of the dose also is determined by the existence, nature, and extent of any adverse side-effects that accompany the administration of a particular compound or vector in a particular patient.

In other applications, ZFPs and other DNA- and/or RNA-binding proteins are

20   used in diagnostic methods for sequence-specific detection of target nucleic acid in a sample. For example, ZFPs can be used to detect variant alleles associated with a disease or phenotype in patient samples. As an example, ZFPs can be used to detect the presence of particular mRNA species or cDNA in a complex mixtures of mRNAs or cDNAs. As a further example, ZFPs can be used to quantify the copy number of a

25   gene in a sample. For example, detection of loss of one copy of a p53 gene in a clinical sample is an indicator of susceptibility to cancer. In a further example, ZFPs are used to detect the presence of pathological microorganisms in clinical samples. This is achieved by using one or more ZFPs, whose binding has been optimized as disclosed herein to be specific to one or more genes within the microorganism to be

30   detected. A suitable format for performing diagnostic assays employs ZFPs linked to a domain that allows immobilization of the ZFP on a solid support such as, for example, a microtiter plate or an ELISA plate. The immobilized ZFP is contacted with a sample suspected of containing a target nucleic acid under conditions in which binding between the optimized ZFP and its target sequence can occur. Typically, nucleic acids

in the sample are labeled (*e.g.*, in the course of PCR amplification). Alternatively, unlabelled nucleic acids can be detected using a second labeled probe nucleic acid. After washing, bound, labeled nucleic acids are detected. Labeling can be direct (*i.e.*, the probe binds directly to the target nucleic acid) or indirect (*i.e.*, probe binds to one

5   or more molecules which themselves bind to the target). Labels can be, for example, radioactive, fluorescent, chemiluminescent and/or enzymatic.

ZFPs whose binding has been optimized as disclosed herein can also be used in assays that link phenotype to the expression of particular genes. Current methodologies for determination of gene function rely primarily upon either over-

10   expressing a gene of interest or removing a gene of interest from its natural biological setting, and observing the effects. The phenotypic effects resulting from over-expression or knockout are then interpreted as an indication of the role of the gene in the biological system. An exemplary animal model system for performing these types of analysis is the mouse. A transgenic mouse generally contains an introduced gene or

15   has been genetically modified so as to up-regulate an endogenous gene. Alternatively, in a "knock-out" mouse, an endogenous gene has been deleted or its expression has been ablated. There are several problems with these existing systems, many of which are related to the fact that it is only possible to achieve "all-or-none" modulation of gene expression in these systems. The first is the limited ability to modulate

20   expression of the gene under study (*e.g.*, in knock-out mice, the gene under study is generally either absent from the genome or totally non-functional; while in transgenic mice which overexpress a particular gene, there is generally a single level of overexpression). The second is the oft-encountered requirement for certain genes at multiple stages of development. Thus, it is not possible to determine the adult function

25   of a particular gene, whose activity is also required during embryonic development, by generating a knock-out of that gene, since the animals containing the knock-out will not survive to adulthood.

One advantage of using ZFP-mediated regulation of a gene to determine its function, relative to the aforementioned conventional knockout analysis, is that

30   expression of a ZFP can be placed under small molecule control. *See*, for example, U.S. Patent No. 5,654,168; 5,789,156; 5,814,618; 5,888,981; 6,004,941; 6,087,166; 6,136,954; and co-owned WO 00/41566. By controlling expression levels of the ZFPs, one can in turn control the expression levels of a gene regulated by the ZFP to determine what degree of repression or stimulation of expression is required to achieve

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a given phenotypic or biochemical effect. This approach has particular value for drug development. In addition, placing ZFP expression under small molecule control allows one to surmount the aforementioned problems of embryonic lethality and developmental compensation, by switching on expression of the ZFP at a later stage in

5   development and observing the effects in the adult animal.

Transgenic mice having target genes regulated by a ZFP or a ZFP fusion protein can be produced by integration of the nucleic acid encoding the ZFP or ZFP fusion at any site in *trans* to the target gene. Accordingly, homologous recombination is not required for integration of the ZFP-encoding nucleic acid. Further, because the

10   transcriptional regulatory activity of a ZFP or ZFP fusion is *trans*-dominant, one is only required to obtain animals having one chromosomal copy of a ZFP-encoding nucleic acid. Therefore, functional knock-out animals can be produced without backcrossing.

All references cited herein are hereby incorporated by reference in their entirety

15   for all purposes.

The following examples are presented as illustrative of, but not limiting, the claimed subject matter.

EXAMPLES

20   **Example 1. Production of randomized oligonucleotides**

A population of randomized oligonucleotides is obtained by incorporating all four bases at one or more steps in the synthesis of an oligonucleotide, such that, in the resulting population, one or more positions within the sequence of the oligonucleotide comprises a different nucleotide in different oligonucleotides. For example, to produce

25   a population of 30-mers with the sequence GAACAACNNNNNNNNNNTACAACGAATTCAT (SEQ ID NO: 21), a mixture of nucleotides, having equimolar concentrations of A, C, G, and T, is incorporated into the oligonucleotide at each position indicated by N. Thus, a particular sequence will be represented, in a population of randomized oligonucleotides, at a frequency of $1/4^n$.

30   Accordingly, if one synthesizes 50 nmoles (equal to 3×10^{16} molecules) of the oligonucleotide shown above, one actually produces about 1.1×10^{11} copies of each of 262,144 possible sequences. For ZFP binding, a double stranded oligonucleotide is required, which is generated as follows. First, one synthesizes a population of randomized single stranded oligonucleotide of the above sequence, then anneals to this

a 14-mer oligonucleotide with the sequence ATGAATTCGTTGTA (SEQ ID NO: 22),
whose sequence is complementary to the 14 nucleotides at the 3' end of the population
of randomized oligonucleotides. Next, the 14-mer is extended to produce a double
stranded 30-mer oligonucleotide with an internal randomized 9 base pair sequence.

5   Extension is accomplished using a nucleotide polymerizing enzyme such as, for
example, the Klenow fragment of *E. coli* DNA polymerase I, T4 DNA polymerase, or
Taq polymerase, and a mixture of the four deoxynucleoside triphosphates. The
double-stranded oligonucleotide is optionally amplified by, for example, a polymerase
chain reaction, and labeled. Labeling is accomplished by methods known in the art

10   such as, for example, incorporation of labeled nucleotide during amplification or
addition of labeled phosphate to the amplified product using polynucleotide kinase.
The flanking sequence is long enough to allow annealing of primers for second strand
synthesis and amplification as described above. Flanking sequence also preferably
contain restriction sites to facilitate cloning. Flanking sequences should not contain a

15   target sequence for the ZFP being examined.

Example 2. Design of a Zinc Finger Protein targeted to the Human Vascular endothelial Growth Factor Gene.

    A ZFP, denoted VEGF-I, was designed to bind to a 9 base-pair (bp) target site,

20   5'-GGGGAGGAT-3' (SEQ ID NO: 23), at the start of transcription of the Vascular
Endothelial Growth Factor (VEGF) gene. Amino acids 533-624 of the Sp-1 zinc
finger protein (Kadonaga *et al.* (1987) *Cell* 51:1079-1090) were used as a backbone for
this protein, and the recognition helices were designed according to the following
general rules, themselves based on prior designs. For finger 1, the 5' base of the target

25   subsite is G, and aa +6 of the recognition helix is R; the mid base of the target subsite
is A, and aa +3 of the recognition helix is N; the 3' base of the target subsite is T, and
aa −1 of the recognition helix is T and aa +2 is S. For finger 2, the 5' base of the target
subsite is G, and aa +6 of the recognition helix is R; the mid base of the target subsite
is A, and aa +3 of the recognition helix is N; the 3' base of the target subsite is G, and

30   aa −1 of the recognition helix is R. For finger 3, the 5' base of the target subsite is G,
and aa +6 of the recognition helix is R; the mid base of the target subsite is G, and
aa +3 of the recognition helix is H; the 3' base of the target subsite is G, and aa −1 of
the recognition helix is R. Additionally, the G residue at the 5' base of the Finger 2
target subsite specified a D residue at aa +2 of finger 3. *See* Figure 2.

Methods for the construction of plasmids encoding designed ZFPs are disclosed

in co-owned PCT WO 00/41566 and PCT WO 00/42219. The sequences of the three

recognition helices of VEGF-I are shown in Table 6, *infra*.

5   **Example 3: Determination of dissociation constants**

Prior to performing the site selection assay, it is useful to determine the

dissociation constant (K_d) for binding between a designed protein and its target site.

Selection is most stringent when the site selection assay is carried out at or near the K_d.

Accordingly, K_d is determined by an electrophoretic mobility shift assay. For this

10   assay, a double-stranded oligonucleotide containing the sequence of the target site and

optional flanking sequences on one or both ends is synthesized and labeled. Labeling

is accomplished, for example, using polynucleotide kinase and $\gamma-^{32}$P-ATP or by end-

filling with one or more radioactive dNTPs using a DNA polymerase. A series of

binding reactions, in which protein is titrated against a fixed amount of labeled

15   oligonucleotide, are assembled. The binding reactions contain 50 pM labeled

oligonucleotide, 10 mM Tris-Cl, pH 7.5, 100 mM KCl, 1 mM $MgCl_2$, 1 mM

dithiothreitol, 10%(v/v) glycerol, 200 µg/ml bovine serum albumin, 0.02%(v/v) NP-

40, 100 µM $ZnCl_2$ and protein. Binding reactions optionally contain 20 µg/ml

poly d(IC):d(IC). Protein is added to the reactions as one-fifth volume from a dilution

20   series made in 20 mM Tris-Cl, pH 7.5, 0.2 M NaCl, 1 mM dithiothreitol. Binding is

allowed to proceed for 45 min. at room temperature, and the binding reactions are

analyzed by gel electrophoresis on either a 10% or a 10-20% polyacrylamide gel

buffered with Tris-HCl (BioRad, Hercules, CA). Running buffer is 25 mM Tris-Cl,

192 mM glycine, pH 8.3, optionally containing 100 µM $ZnCl_2$. Binding of protein to

25   the labeled oligonucleotide is indicated by a retardation of the electrophoretic mobility

of bound oligonucleotide, compared to unbound oligonucleotide. Radioactive signals

corresponding to bound and unbound oligonucleotide are quantitated using a

PhosphorImager (Molecular Dynamics, Sunnyvale, CA) and/or recorded on X-ray

film. The protein concentration yielding half-maximal binding to the oligonucleotide

30   is the K_d.

Example 4: Site Selection Assay

The DNA-binding specificity of the VEGF-I protein was assessed using the site selection method outlined in Figure 1. First a library of randomized target sequences was constructed. Two single-stranded oligonucleotides having the sequences shown

5   below as SEQ ID NOs: 24 and 25 were synthesized, annealed, and the annealed product was extended with the Klenow fragment of *E. coli* DNA Polymerase I (New England BioLabs, Beverly, MA), used according to the manufacturer's instructions.

5'-ATCCGAACTCGTTCAATA(N)$_{14}$ATTGCAATGGATCCATGC-3' (SEQ ID

10   NO: 24)
5'-GCATGGATCCATTGCAAT-3' (SEQ ID NO: 25)

The resulting population of randomized oligonucleotides was labeled by T4 polynucleotide kinase-catalyzed addition of ^{32}P from γ-^{32}P-ATP. The enzyme was

15   obtained from New England BioLabs, and used according to the manufacturer's instructions. Labeled oligonucleotides were purified on a ProbeQuant G-50 column (Pharmacia, Piscataway, NJ) according to the manufacturer's instructions.

For site selection, 5 nM labeled degenerate oligonucleotides was incubated with designed or redesigned binding protein at a concentration 10-fold above the K_d of

20   the designed protein for its target sequence, using the buffer and incubation conditions described *supra* for K_d determination. Location of bound oligonucleotides was determined by autoradiography and the portion of the gel containing bound oligonucleotides was excised. Nucleic acids were eluted from the gel slice using an Amicon Gel Extraction kit (Millipore, Bedford, MA) according to the manufacturer's

25   instructions. Briefly, the gel slice was pulverized by centrifugation through an Amicon column, then DNA was eluted from the gel particles using one-tenth strength TE buffer (Sigma, St. Louis, MO). One-tenth volume of the eluate (typically 3 µl) was used as template in a polymerase chain reaction, using oligonucleotides corresponding to SEQ ID NO: 25 (*supra*) and SEQ ID NO: 26 (*infra*) as primers.

30   5'-ATCCGAACTCGTTCAATA-3' (SEQ ID NO: 26)

The amplification product obtained as described above was then used in a further round of selection. At each round of selection, the amount of protein was

decreased two-fold. Typically 3-5 rounds of selection are conducted, at which point
the amplification products of the selected oligonucleotides are cloned using the TOPO
TA cloning kit (Invitrogen, Carlsbad, CA) according to the manufacturer's
instructions. Plasmid DNA is isolated from a representative number of clones

5   (typically 15-20) and sequences of the portions of the plasmids containing the cloned
amplification products are determined. The results are compiled and analyzed as
described *infra*.

Example 5: Improving Specificity of a Designed Zinc Finger Protein using
10   **Multiple Rounds of Site Selection and Redesign**

The VEGF-I protein was designed as described in Example 2, *supra*. After
four rounds of selection and amplification, as described in Example 4, *supra*, 23
isolated clones were sequenced. The results of this analysis are presented in Table 1
and show, for each position in the target sequence, the number of selected

15   oligonucleotides having a particular nucleotide at that location. For example, 21 of the
selected oligonucleotides had a G as the 5' base in the Finger 3 target subsite; one had
an A at this location, and the remaining oligonucleotide had a C at this position. From
these data, a consensus recognition sequence was deduced and is presented at the
bottom of the Table. Analysis of the sequences selected by the designed VEG-I ZFP

20   indicated that the 3'-most triplet subsite of the 9 bp target site was not adequately
specified.

Target Sequence	G	G	G	G	A	G	G	A	T
Selected Sequences	G_{21}	G_{20}	G_{23}	G_{23}	A_{22}	G_{22}	C_{16}	C_9	C_{11}
	A_1	A_3			T_1	A_1	G_5	A_6	T_5
	C_1						T_2	G_4	A_4
								T_4	G_3
Consensus Sequence	G	G	G	G	A	G	N	N	N

25

Table 1. Results of site selection assay with VEGF-I.

As a result of this analysis, the design of the ZFP was altered, through site-
specific mutagenesis of finger 2 (the finger designed to recognize the GAG subsite), to

change the residue at position +2 of its recognition helix from S to D. The presence of

an aspartate (D) residue at this position allows an interaction with a C complementary

to position 7 of the 9 bp target site (*i.e.*, the 5' nucleotide of the GAT triplet subsite).

This additional specific interaction was thought likely to lead to a ZFP with specificity

5   for G at position 7. The design of this new ZFP, called VEGF-II, is shown in Figure 3.

VEGF-II was characterized by determining its DNA binding specificity, using

the same site selection method as described above. After four rounds of selection and

amplification, 27 isolated clones were sequenced. Table 2 shows a compilation of the

sequence data along with a consensus sequence.

10

Target Sequence	G	G	G		G	A	G		G	A	T
Selected Sequences	G_{17}	G_{18}	G_{27}		G_{27}	A_{20}	G_{27}		G_{23}	G_9	C_8
	C_5	A_8				G_4			T_2	A_8	T_7
	A_4	C_1				C_2			C_2	C_8	G_6
	T_1					T_1				T_2	A_6
Consensus Sequence	G	G	G		G	A	G		G	N	N

Table 2. Results of site selection assay with VEGF-II.

15   The results shown in Table 2 demonstrated that improved specificity for G at

position 7 in the target sequence was obtained by the S to D substitution at position +2

of Finger 2, as evidenced by the selection of G almost 6-fold more often than any other

nucleotide at this position. However, these data also indicated that VEGF-II shows

inadequate specificity (*e.g.*, specificity less than that determined by the operator) for

20   the A at position 8 and the T at position 9 of the target site. Other proteins in our

database, that had been designed to specify T in the 9th position of a target site, have

the amino acid sequence QSS at positions –1, +1 and +2, respectively, of the Finger 1

recognition helix. Accordingly, the design of Finger 1 of VEGF-II was changed from

TTSNLRR (SEQ ID NO: 27) to QSSNLRR (SEQ ID NO: 31) in an attempt to improve

25   specificity for T in the 9th position of the target site. The specificity of the resulting

protein, VEGF-III, was determined as described *supra*, and the results are shown in

Table 3.

Target Sequence	G	G	G		G	A	G		G	A	T
Selected Sequences	G_{12}	G_{14}	G_{14}		G_{15}	A_{10}	G_{14}		G_{14}	A_7	T_9
	A_1	A_1	T_1			C_3	A_1		T_1	C_6	G_2
	T_1					G_2				G_2	A_2
	C_1										C_2
Consensus Sequence	G	G	G		G	A	G		G	N	T

Table 3. Results of site selection assay with VEGF-III.

VEGF-III shows improved specificity for T at position 9 of the target site, while retaining its specificity for G at position 7. The specificity for A at position 8, however, is still low. Accordingly, the amino acid sequence of the Finger 1 recognition helix was further altered to change position +5 from R to A. This change was motivated by the expectation that replacement of a large charged amino acid (R) with a small neutral amino acid (A) would permit the N at position 3 of Finger 1 to interact more effectively with base 8 of the target site. This prediction was borne out by analysis of the binding site specificity of the protein carrying this single amino acid change, VEGF-IV, shown in Table 4. Specificity for A at position 8 of the target site was improved, and specificity for the remaining nucleotides in the target site was maintained.

Target Sequence	G	G	G		G	A	G		G	A	T
Selected Sequences	G_{11}	G_{14}	G_{14}		G_{14}	A_{12}	G_{14}		G_{14}	A_{10}	T_9
	T_3					G_1				G_2	G_2
						C_1				C_2	A_2
											C_1
Consensus Sequence	G	G	G		G	A	G		G	A	T

Table 4. Results of site selection assay with VEGF-IV.

Thus, through iterative cycles of design interspersed with systematic site selection analyses, the specificity of zinc finger DNA binding domains, engineered to

45

bind to a DNA target sequence in the human VEGF promoter, was improved. A summary of the design changes (at positions –1 through +6 of the recognition helices) and accompanying target site specificity for the VEGF-I through VEGF-IV proteins is summarized in Table 5. Amino acid sequence changes, and resulting changes in

5   binding specificity, are shown in bold type in the Table.

Table 5: Optimization of a ZFP designed to bind to the VEGF gene

Protein	Amino acid sequence (-1 to +6)	Target site specificity
VEGF-I	F1: TTSNLRR (SEQ ID NO: 27) F2: RSSNLQR (SEQ ID NO: 28) F3: RSDHLSR (SEQ ID NO: 29)	GGG GAG NNN (SEQ ID NO: 33)
VEGF-II	F1: TTSNLRR (SEQ ID NO: 27) F2: RSDNLQR (SEQ ID NO:30) F3: RSDHLSR (SEQ ID NO: 29)	GGG GAG GNN (SEQ ID NO: 34)
VEGF-III	F1: QSSNLRR (SEQ ID NO: 31) F2: RSDNLQR (SEQ ID NO: 30) F3: RSDHLSR (SEQ ID NO: 29)	GGG GAG GNT (SEQ ID NO: 35)
VEGF-IV	F1: QSSNLAR (SEQ ID NO: 32) F2: RSDNLQR (SEQ ID NO: 30) F3: RSDHLSR (SEQ ID NO: 29)	GGG GAG GAT (SEQ ID NO: 23)

Although the foregoing methods and compositions have been described in
10   detail for purposes of clarity of understanding, certain modifications, as known to those of skill in the art, can be practiced within the scope of the appended claims. All publications and patent documents cited herein are hereby incorporated by reference in their entirety for all purposes to the same extent as if each were so individually denoted.

15

CLAIMS

What is claimed is:

1.   A method of enhancing the binding specificity of a binding
protein, the method comprising:

      (a) providing a binding protein designed to bind to a target sequence;

      (b) determining the specificity of binding of the binding protein to each residue
in the target sequence;

      (c) identifying one or more residues in the target sequence for which the
binding protein does not possess the requisite specificity;

      (d) substituting one or more amino acids at positions in the binding protein that
affect the specificity of the binding protein for the residues identified in (c), to make a
modified binding protein;

      (e) determining the specificity of binding of the modified binding protein to
each residue in the target sequence;

      (f) identifying any residues for which the modified binding protein does not
possess the requisite specificity; and

      (g) repeating steps (d), (e) and (f) until the modified binding protein evaluated
in step (f) demonstrates the requisite specificity for each residue in the target sequence,
      thereby obtaining a binding protein with enhanced binding specificity for its
target sequence.

2.   The method of claim 1, wherein the target sequence is a nucleic acid
sequence.

3.   The method of claim 1, wherein the target sequence is an amino acid
sequence.

4.   The method of claim 2, wherein the binding protein is a DNA-binding
protein.

5.   The method of claim 2, wherein the binding protein is a RNA-binding
protein.

6.   The method of claim 1, wherein the binding protein is a zinc finger protein.

7.   The method of claim 6, wherein the zinc finger protein comprises three zinc fingers, each of which binds a triplet or quartet subsite in the target sequence.

5

8.   The method of claim 6, wherein at least one finger in the zinc finger protein in step (a) is designed according to a correspondence regime between the identity of bases occupying designated positions in a subsite of the target sequence, and the identity of amino acids occupying designated positions in a zinc finger binding to that subsite.

10

9.   The method of claim 7, wherein each of the three fingers is designed according to a correspondence regime between the identity of bases occupying designated positions in a subsite of the intended target site, and the identity of amino acids occupying designated positions in a zinc finer binding to that subsite.

15

10.   The method of claim 8, wherein the correspondence regime specifies alternative amino acids for at least one position in a zinc finger.

20   11.   The method of claim 10, wherein the correspondence regime specifies alternative amino acids for at least two positions in a zinc finger.

12.   The method of claim 10, wherein the zinc finger protein in step (a) includes at least one amino acid arbitrarily selected from alternative amino acids

25   specified by the correspondence regime.

13.   The method of claim 6, wherein the zinc finger protein in step (a) is designed by analysis of a database of existing zinc finger proteins and their respective target sequences.

30

14.   The method of claim 10, wherein, in step (d), substituting comprises replacing one or more amino acids with alternative amino acids specified by the correspondence regime.

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15.   The method of claim 6, wherein, in step (d), substituting comprises
replacing an amino acid at a position of a zinc finger that does not possess the requisite
specificity for a base with a consensus amino acid at a corresponding position from a
collection of zinc fingers that bind to a subsite of the intended target site.

5

16.   The method of claim 6, wherein the site specificity of each nucleotide in
the target sequence is determined by contacting the zinc finger protein with a
population of randomized oligonucleotides, selecting oligonucleotides that bind to the
zinc finger protein, determining the sequence of the selected oligonucleotides, and
10   determining the percentage of bases occupying each position in the selected
oligonucleotides.

17.   The method of claim 16, wherein the zinc finger protein does not
possess the requisite specificity for a nucleotide at a position if fewer than 80% of
15   selected oligonucleotides contain the nucleotide at the position.

18.   The method of claim 6, wherein a zinc finger does not possess the
requisite specificity for the 3' base of a subsite, and an amino acid at position –1 of the
recognition helix is substituted.

20

19.   The method of claim 6, wherein a zinc finger does not possess the
requisite specificity for the mid base of a subsite and an amino acid at position +3 of
the recognition helix is substituted.

25   20.   The method of claim 6, wherein a zinc finger does not possess the
requisite specificity for the 5' base of a subsite and an amino acid at position +6 of the
recognition helix is substituted.

21.   The method of claim 6, wherein a zinc finger does not possess the
30   requisite specificity for the 5' base of a subsite and an amino acid at position +2 of an
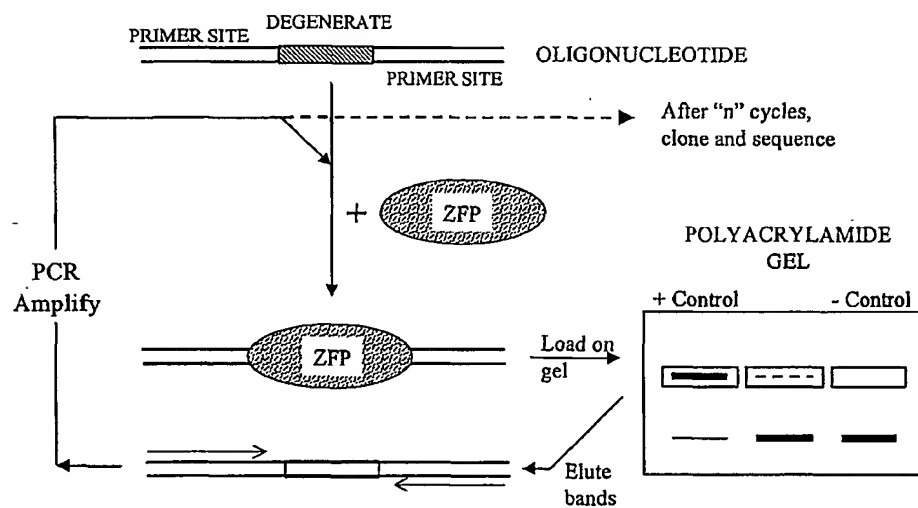adjacent C-terminal zinc finger is substituted.

22.   The method of claim 1, wherein one amino acid is substituted in
step (c).

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23.   The method of claim 1, wherein steps (c) and (d) are repeated at least twice.

5   24.   A method for identifying a secondary target site for a binding protein, wherein the binding protein is designed to bind a target sequence, the method comprising:

(a) determining the specificity of the binding protein for each residue in the target sequence, thereby identifying one or more secondary target sites bound by the

10   binding protein; and

(b) comparing the sequence of the secondary target site with a database of naturally-occurring sequences to identify at least one naturally-occurring sequence comprising the secondary target site.

15   25.   The method of claim 24 wherein the naturally-occurring sequences form all or a portion of the sequence of a genome.

26.   The method of claim 25 wherein the genome is a human genome.

20   27.   The method of claim 24 wherein the target sequence is a nucleotide sequence.

28.   The method of claim 24 wherein the target sequence is an amino acid sequence.

25

29.   The method of claim 24 wherein the binding protein is a zinc finger protein.

30.   The method of claim 29 wherein step (a) comprises contacting the zinc

30   finger protein with a population of randomized oligonucleotides to identify a subpopulation of oligonucleotides that bind to the zinc finger protein; one or more of these oligonucleotides or a consensus sequence of these oligonucleotides constituting the one or more secondary target sites.

31.   A method of comparing zinc finger proteins that bind to target
sequences within a target gene; the method comprising:

(a) determining the binding profile of a first zinc finger protein, designed to

5   bind a first target sequence within the gene, for each base in the first target sequence;

(b) determining the binding profile of a second zinc finger protein, designed to
bind a second target sequence within the gene, for each base in the second target
sequence; and

(c) comparing the profiles of the first and second zinc finger proteins as an

10   indicator of relative specificity of binding.

32.   The method of claim 31, wherein the first and second target sequences
       are the same.

33.   The method of claim 31, wherein the first and second target sequences

15   are different.

34.   The method of claim 31, wherein:

(a) the binding profile of the first zinc finger protein to the first target sequence
is determined by contacting the first zinc finger protein with a population of

20   randomized oligonucleotides to identify a subpopulation of oligonucleotides that bind
to the first zinc finger protein, the identity of random segments in the subpopulation
providing a profile of the specificity of binding of the first zinc finger protein; and

(b) the binding profile of the second zinc finger protein to the second target
       sequence is determined by contacting the second zinc finger protein with a

25   population of randomized oligonucleotides to identify a subpopulation of
           oligonucleotides that bind to the second zinc finger protein, the identity of
           random segments in the subpopulation providing a profile of the specificity
           of binding of the second zinc finger protein.

30   35. A method of modulating expression of a gene, comprising contacting the
           gene with whichever of the first or second zinc finger proteins identified
           according to the method of claim 31 has the better relative binding
           specificity.

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36. A composition comprising whichever of the first or second zinc finger proteins identified by the method of claim 31 has the better relative binding specificity and a pharmaceutical excipient.
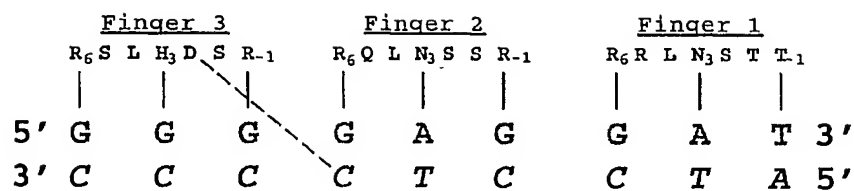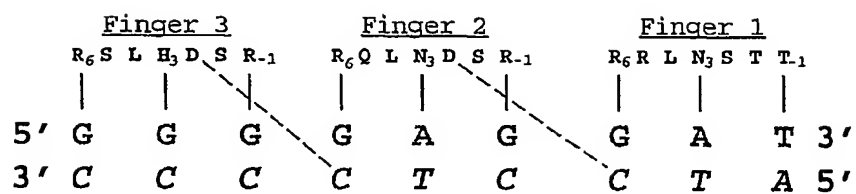
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FIG. 1

<u>Finger 3</u>   <u>Finger 2</u>   <u>Finger 1</u>

R_6 S   L H_3 D   S R_{-1} R_6 Q   L N_3 S   S R_{-1} R_6 R   L N_3 S   T T_{-1}

| | | | | | | |

5'   G   G   G   G   A   G   G   A   T   3'

3'   C   C   C   C   T   C   C   T   A   5'

FIG. 2

<u>Finger 3</u>   <u>Finger 2</u>   <u>Finger 1</u>

R_6 S   L H_3 D   S R_{-1} R_6 Q   L N_3 D   S R_{-1} R_6 R   L N_3 S   T T_{-1}

5'   G   G   G   G   A   G   G   A   T   3'

3'   C   C   C   C   T   C   C   T   A   5'

FIG. 3